

Case study: Image segmentation for accurate topology inference

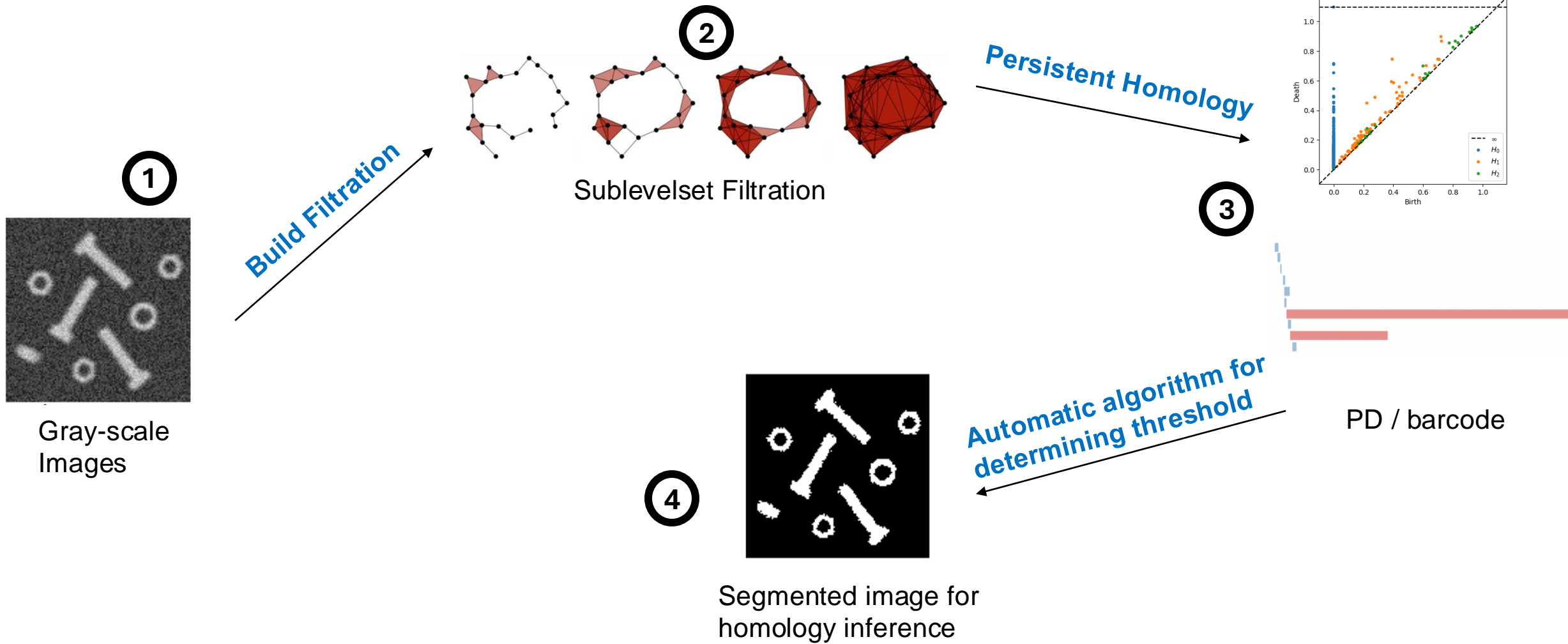
Tao Hou, University of Oregon

Image segmentation for correct topology inference

This application is documented in the following paper

- Chung, Yu-Min, and Sarah Day. "Topological fidelity and image thresholding: A persistent homology approach." *Journal of Mathematical Imaging and Vision* 60 (2018): 1167-1179.

Persistent homology pipeline

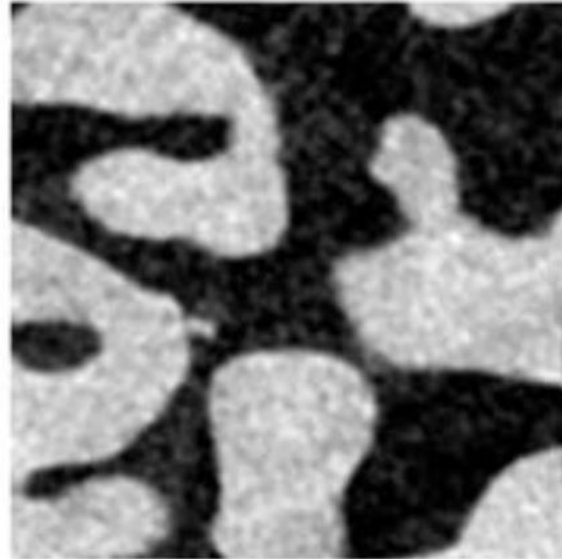


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- Primary motivation / goal: extract **useful** and **accurate** topological information from **noisy** digital images of structures combining two materials or subdomains of interest

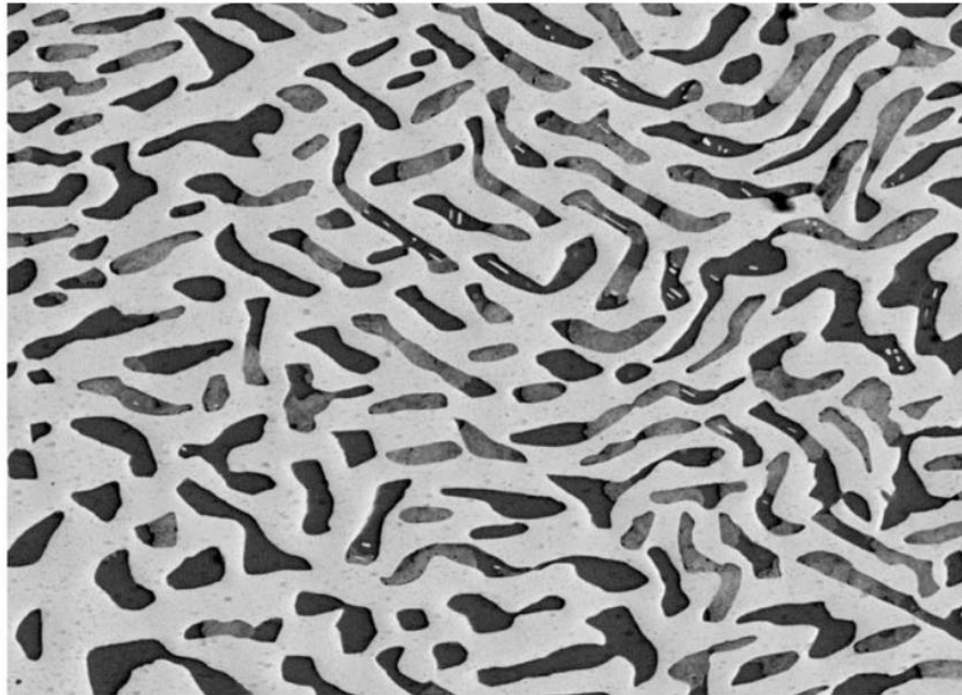
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- Ex2: Bi–Sn (Bismuth Tin) binary alloy



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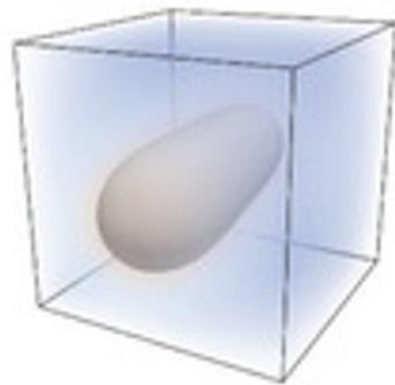
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 - β_1 , # of 1-dimensional holes: “tunnels”
 - β_2 , # of 2-dimensional holes: “cavities”

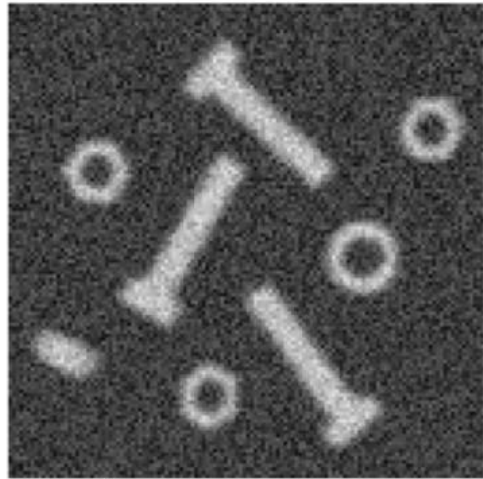


Binarization

- What they aim to do is to segment (binarize) each pixel of image into 0 or 1, each representing one material (subdomain) of the two

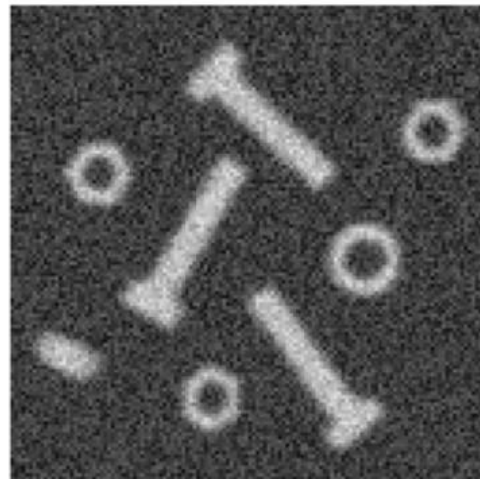
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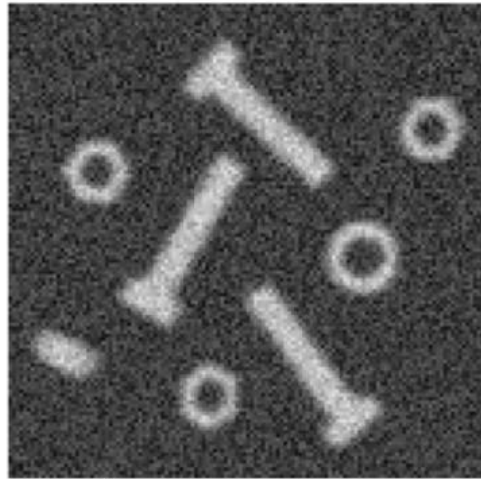
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- After the binarization, we can then study the property of each region



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 - Accurate estimates of gas transfer are of interest to climate scientists seeking to understand the age of atmospheric gases that have been trapped in air pockets within firn core samples

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 - It is a statistics-base method by inspecting the [histogram](#) of the image
 - This is also the method of comparison in the work

Otsu's method

- A histogram is just a counting of the number of pixels falling in each of the gray-scale bin (0-255)

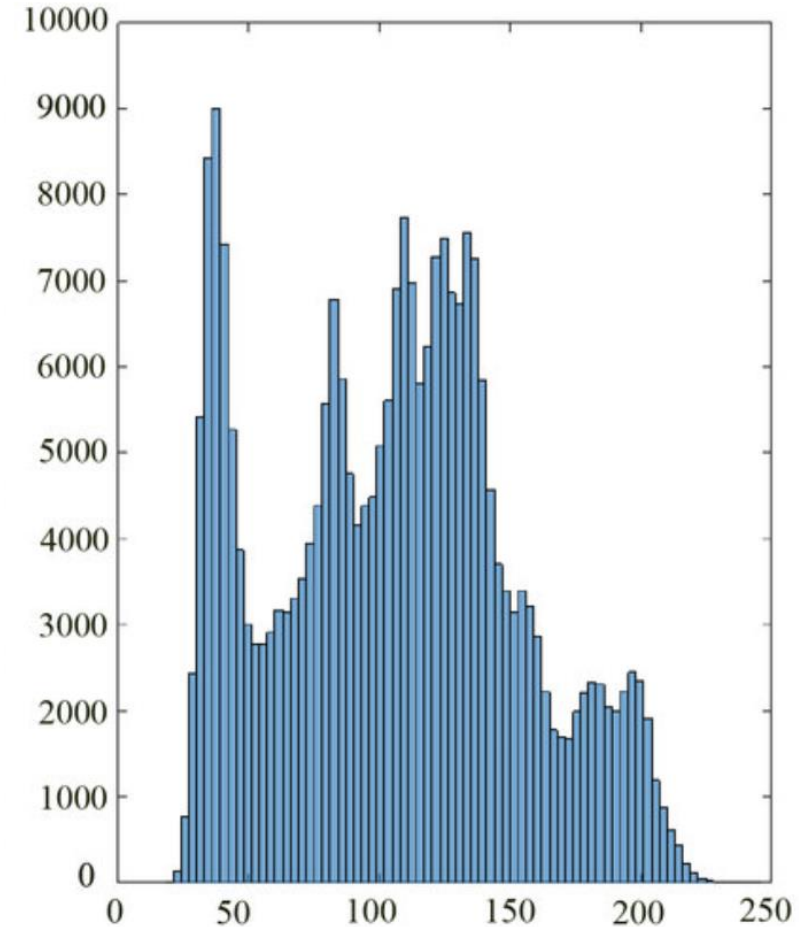
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- We will later see examples where the topology inferences based on Otsu's is far off

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- It utilizes an optimization scheme so that important, robust topological features are present, but the number of features (holes) that are (most likely) due to noise is minimized
- As shown in the paper, the proposed method often leads to **improved topological accuracy** in the segmented image and in the resulting Betti numbers

Setting

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$$f : P \rightarrow \mathbb{R}$$

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- For a threshold t , the work focuses on the **black/dark region** corresponding to this threshold, which is indeed the **sublevel set** of t :

$$f_t^- := \{x \in P \mid f(x) \leq t\}$$

Setting

- We then build the sublevelset filtration of f :

$$f_{t_0}^- \subseteq f_{t_1}^- \subseteq \cdots \subseteq f_{t_n}^-$$

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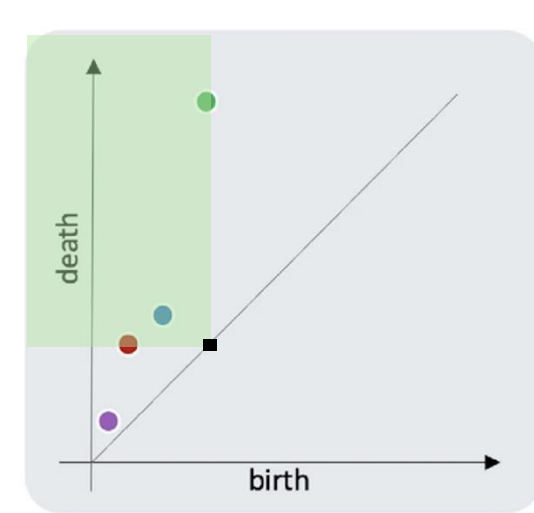


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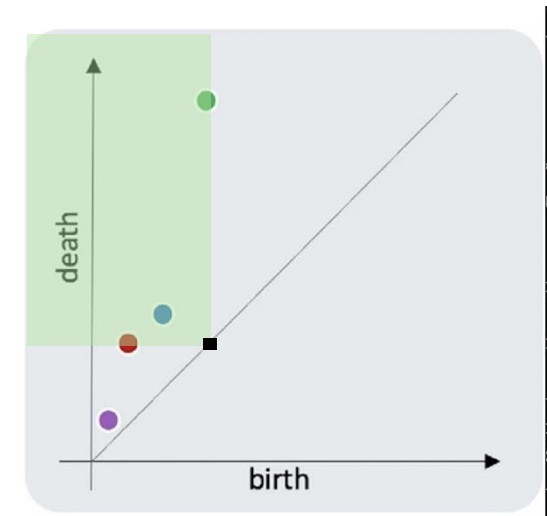
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- which in turn is equivalent to counting the number of number of points in the upper-left quadrant of the point (t,t) in the PD \mathcal{P}



Setting

- So, as we move the lower right corner of the quadrant along the diagonal and count the number of points falling within it, we track the variation of the Betti number $\beta(\hat{f}_t^-)$ of the sublevel sets in the filtration

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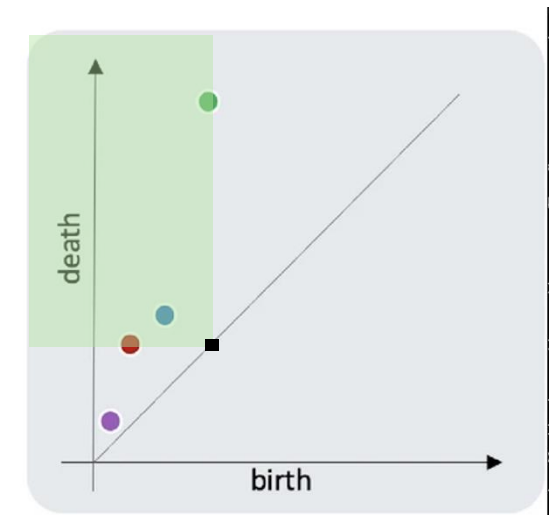


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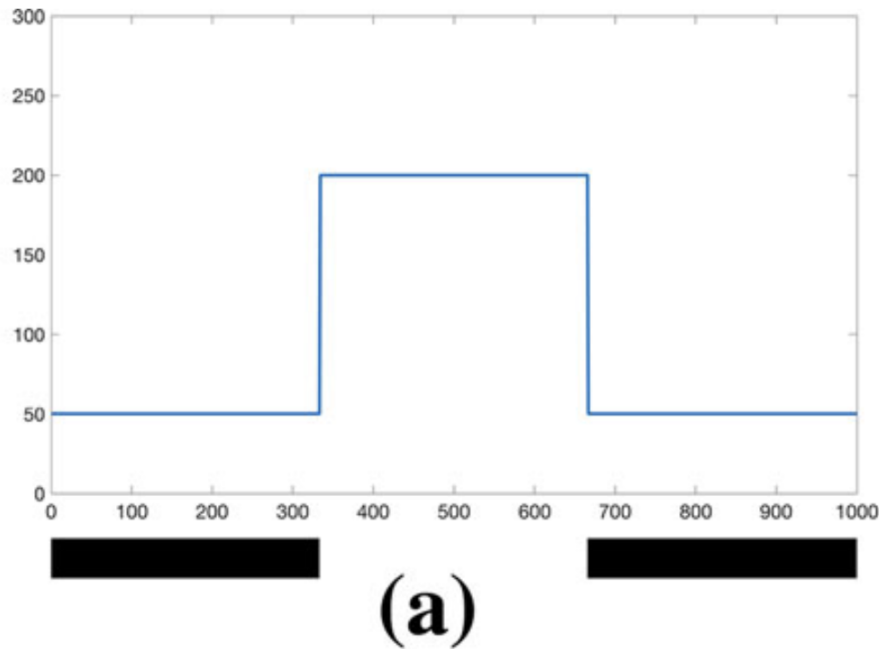
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- Now the problem boils down to finding a t such that $\beta(\hat{f}_t^-)$ is the “ground truth”



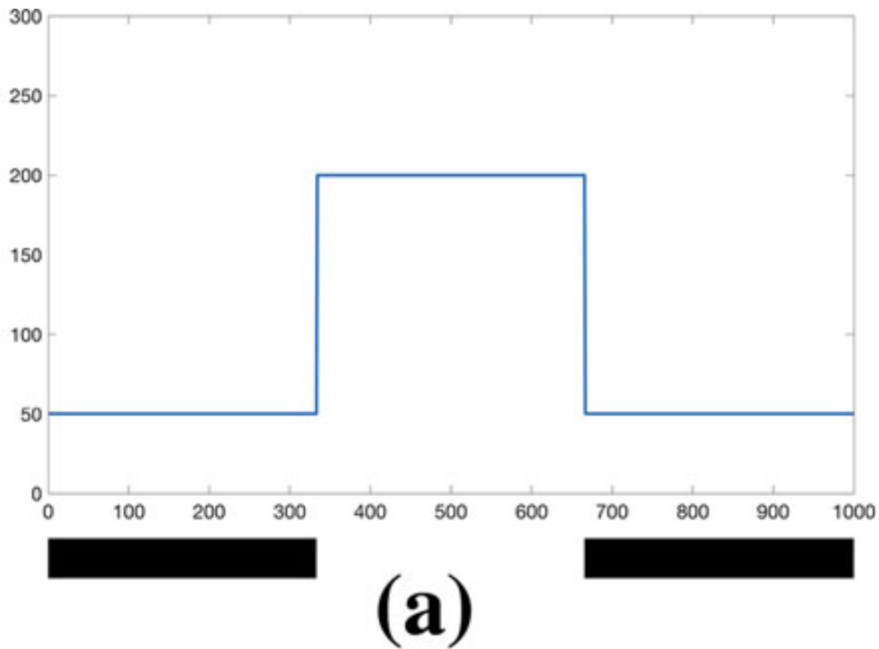
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- Let's assume a very simple scenario for the motivation of the idea, aka. a "1D" image (function) \hat{f} with only two values (so already binarized)



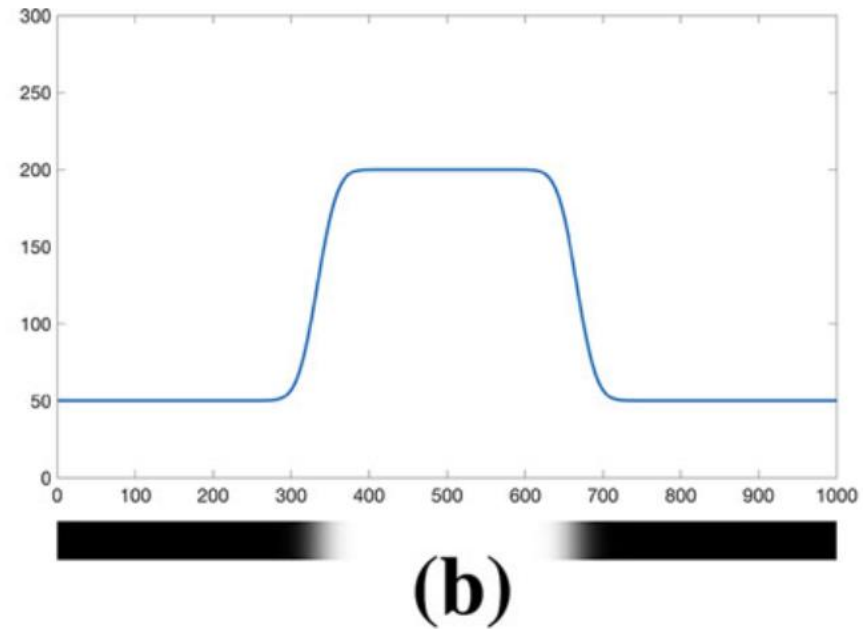
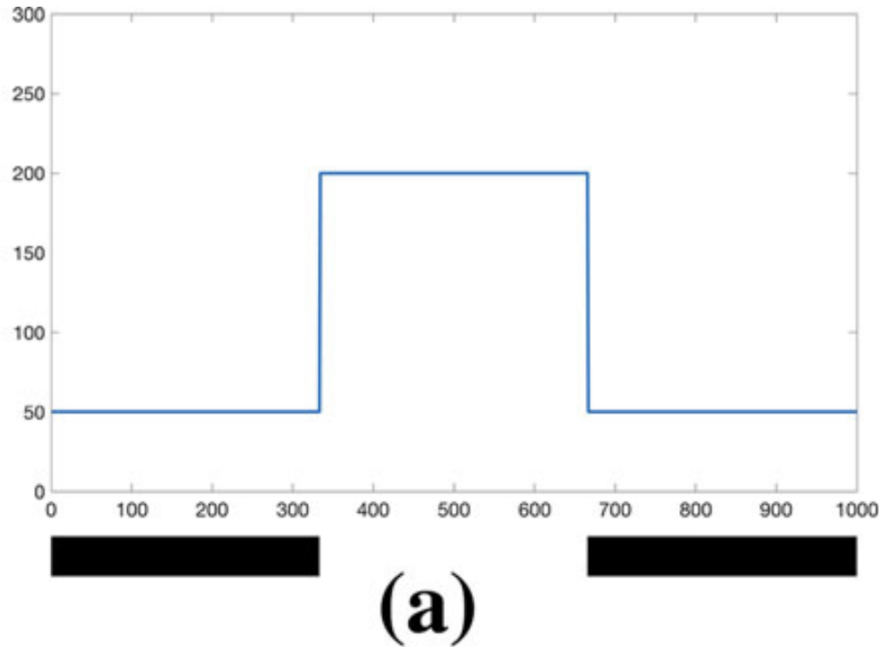
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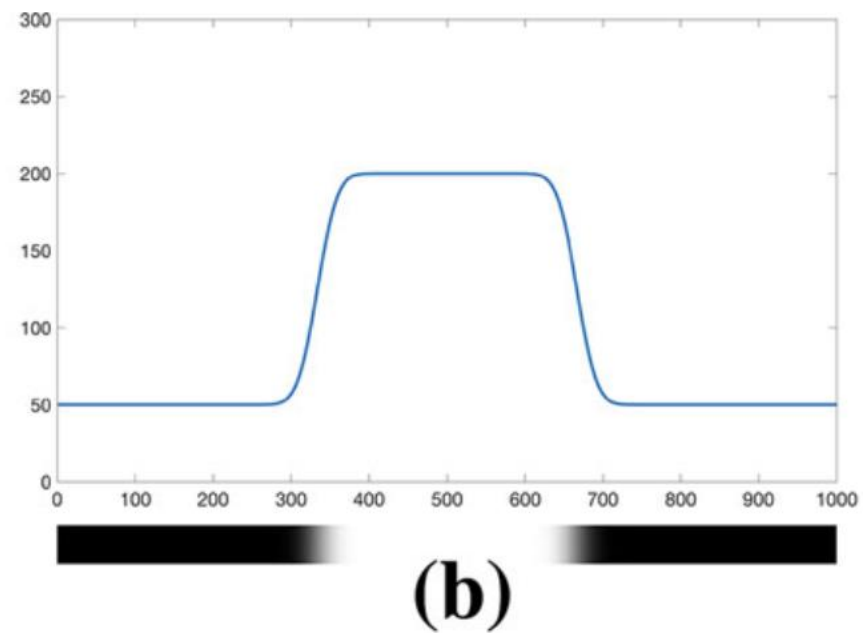
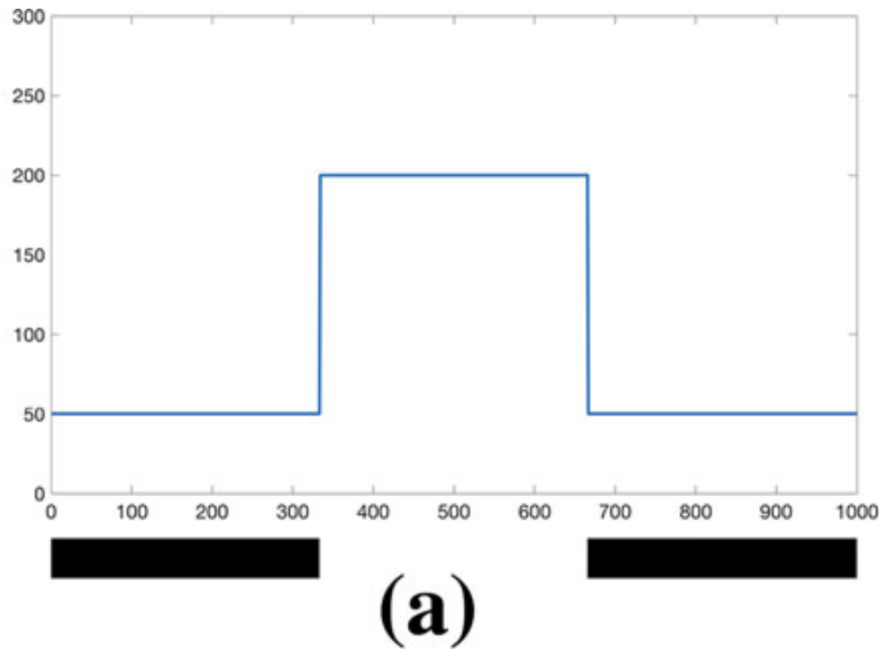
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- In either case, a threshold value between 50 (min value) and 200 (max value) would give you a correct binarization (in terms of Betti number)



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- So for this problem, the homology inference is a done deal once you have the barcode

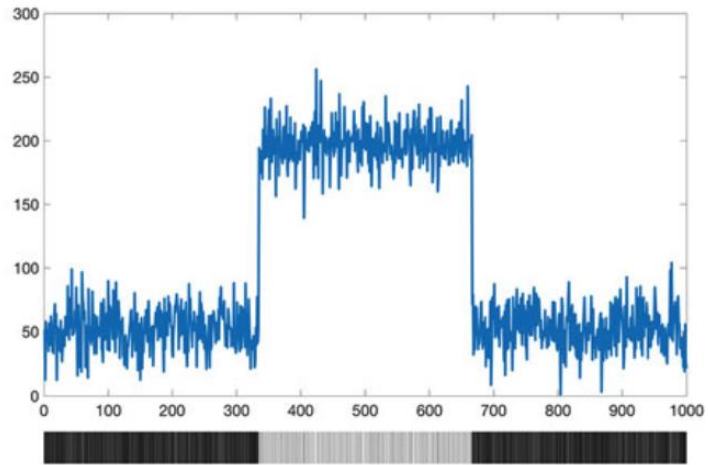
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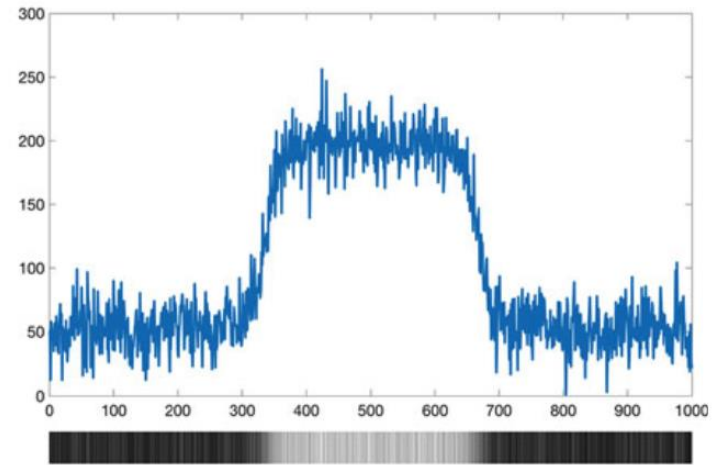
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- Now, to make things closer to reality, we add some **noise** to the function \hat{f} , and get a more realistic function f that one could encounter

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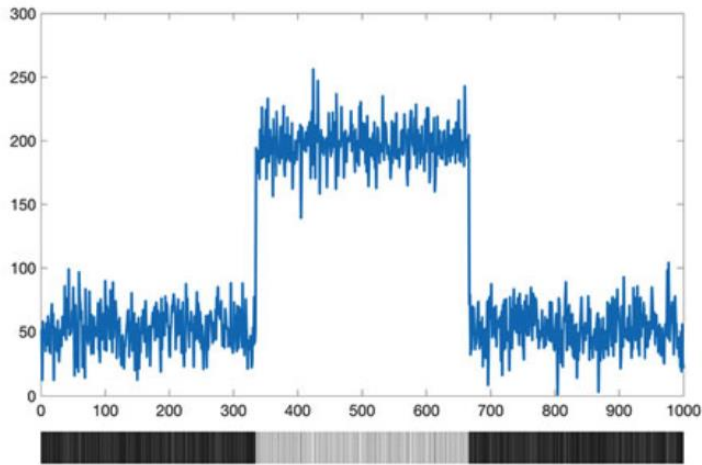


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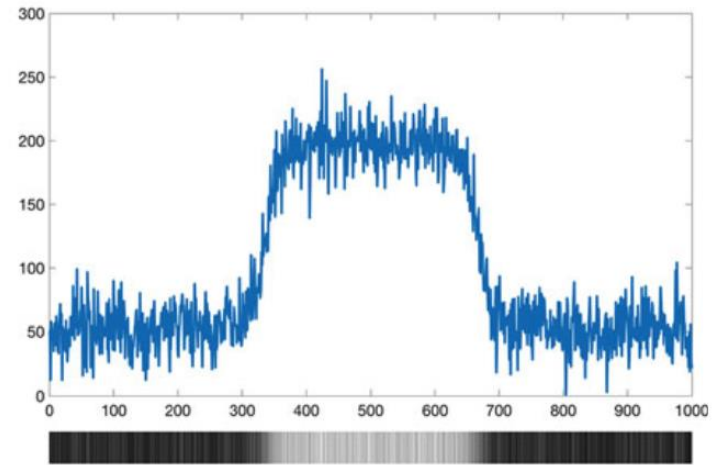


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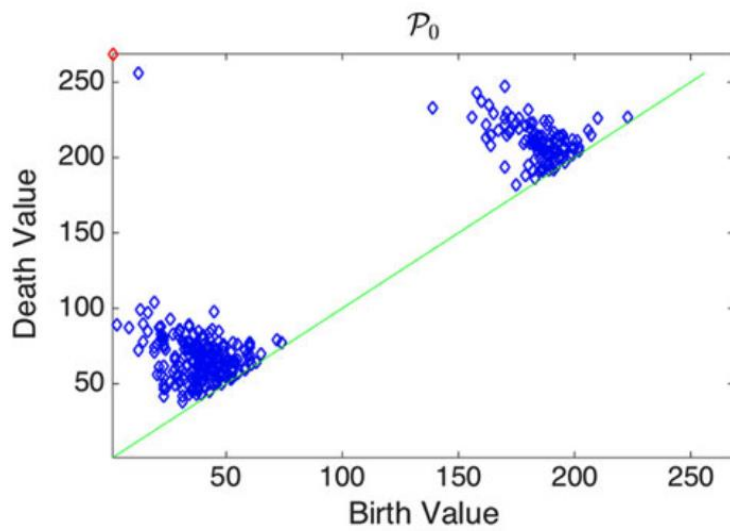
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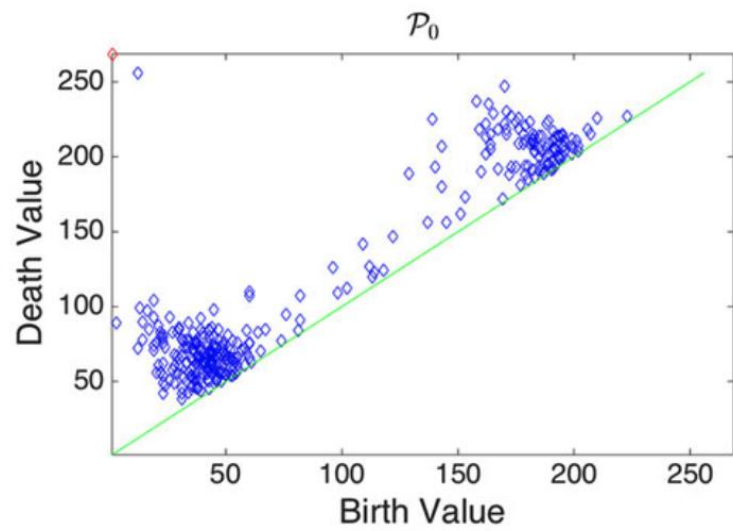
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 - The range of values where the Betti number equals 2 is **greatly reduced** for the left function, now become [105, 140)
 - What's more, for the right function, there is now **not a single value** whose Betti number evaluates to 2 (we can **never** find the ground truth by simply using a threshold)
- BTW, to solve the problem of the right function, one could use a cut-off for the length of the intervals (distance to the diagonal) and keep only those points in PD above a 45° degree line (we could then count to 2 with remaining points)

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 1. **In the middle of long features:** This threshold tends to be in the middle of the life of long lifespan features so that these features tend not to have just appeared (been born) or be on the verge of disappearing (dying)
 2. **Far from short features:** It is also far enough from the short-lived features (most probably noise) from the both sides so that small perturbations of the threshold do not produce large changes in the noisy features included

Objective function

- To achieve the first goal (threshold sitting in middle of long features), they try to find a threshold t **maximizing** the following objective function:

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 - If $[b, d)$ is long, then $(d - t)(t - b)$ achieves its highest value when t sits in the middle

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- $\mathcal{P}(t)$: set of intervals intersecting (present at) threshold t
- $(d - t)(t - b)$ measures how “in the middle” t is sitting within interval $[b, d)$:
 - If $[b, d)$ is short, then $(d - t)(t - b)$ is small anyways
 - If $[b, d)$ is long, then $(d - t)(t - b)$ achieves its highest value when t sits in the middle
- We divide the whole function by $\#\mathcal{P}(t) + 1$ because we want to measure the average contribution of the term for the intervals (so for we do not penalize a t intersecting less long intervals)

Objective function

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 - If $[b, d)$ is long, then $(d - t)(t - b)$ achieves its highest value when t sits in the middle
- The above objective function penalizes a t intersecting a lot of short intervals, and favors a t intersecting long intervals in the middle

Objective function

- To account for topological features that are missed at t , penalizing exclusion of long lifespan features, they also maximize the following:

Objective function

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$$\Psi^{-}(t) = \sum_{(b,d) \in \mathcal{P}^{-}(t)} \frac{t-d}{d-b}, \quad \Psi^{+}(t) = \sum_{(b,d) \in \mathcal{P}^{+}(t)} \frac{b-t}{d-b},$$

- $\mathcal{P}^{-}(t) = \{(b, d) \in \mathcal{P} \mid d \leq t\}$: set of intervals to left of t
- $\mathcal{P}^{+}(t) = \{(b, d) \in \mathcal{P} \mid b > t\}$: set of intervals to right of t

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- For each term in $\Psi^{-}(t)$, if $[b, d)$ is long, then the denominator is large making the whole term small; also, if t is far from $[b, d)$, $t - d$ (distance of t to the interval) is large
- This means that it would penalize the situation **where t is very close to some long intervals**

Objective function

- To maximize all three objective functions, they maximize the following which is the multiplication of the three:

$$\Phi(t) = \Psi(t) \cdot \Psi^{-}(t) \cdot \Psi^{+}(t),$$

Objective function

- To maximize all three objective functions, they maximize the following which is the multiplication of the three:

$$\Phi(t) = \Psi(t) \cdot \Psi^-(t) \cdot \Psi^+(t),$$

- The threshold T they look for is then the following:

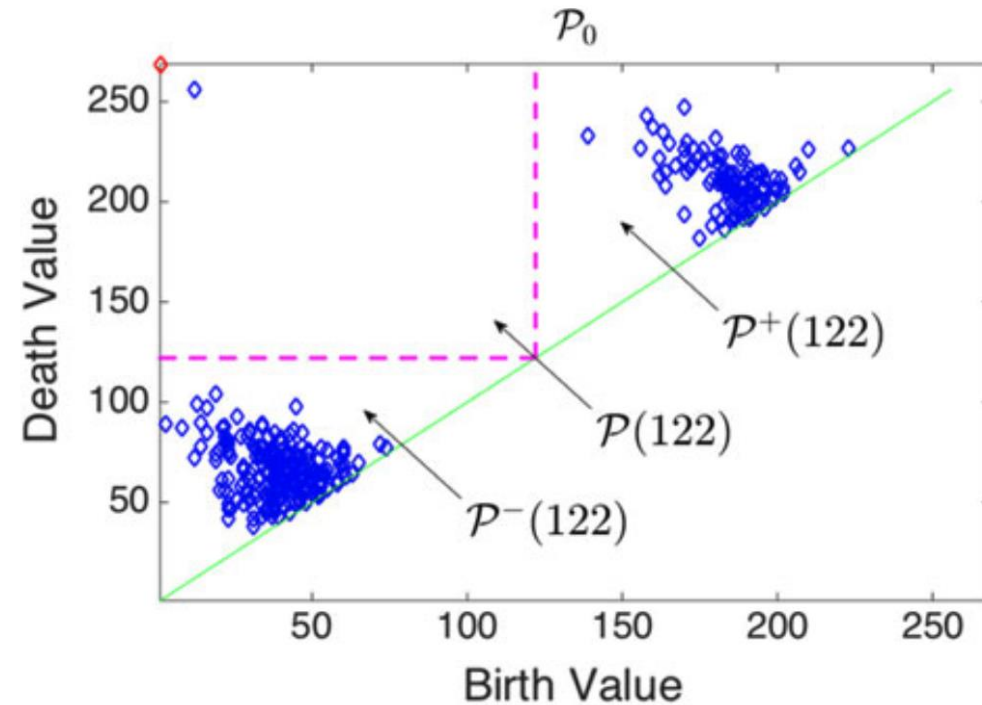
$$T = \arg \max_{t \in [0, I]} \Phi(t).$$

A side note

- One interesting thing to notice: for a threshold t , the quadrant with corner on (t, t) separates a PD into the three parts we were considering previously:
- $\mathcal{P}(t)$: the quadrant
- $\mathcal{P}^-(t)$: the lower-left part
- $\mathcal{P}^+(t)$: the upper-right part

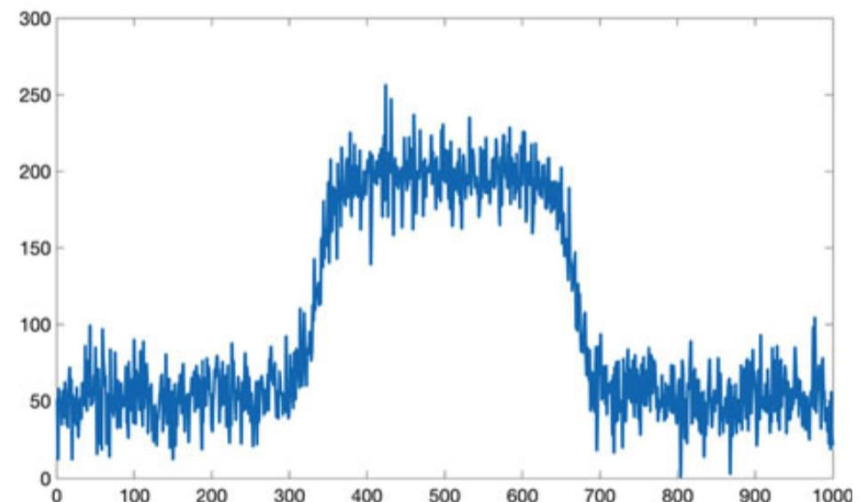
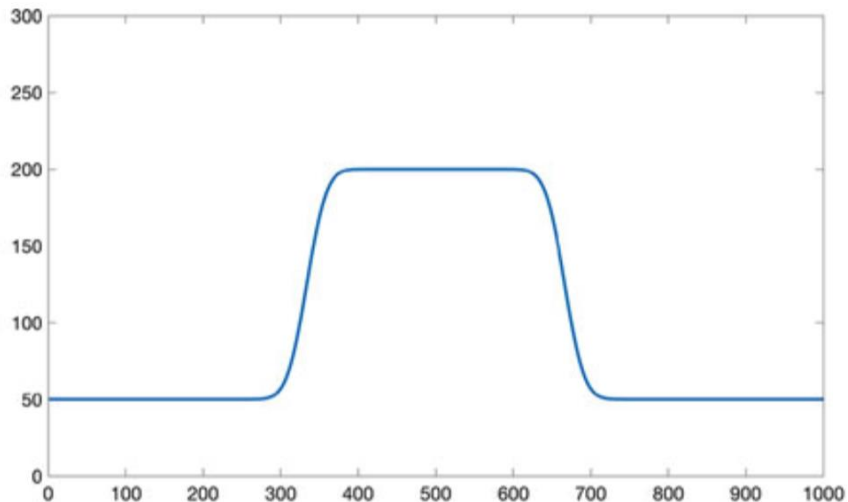
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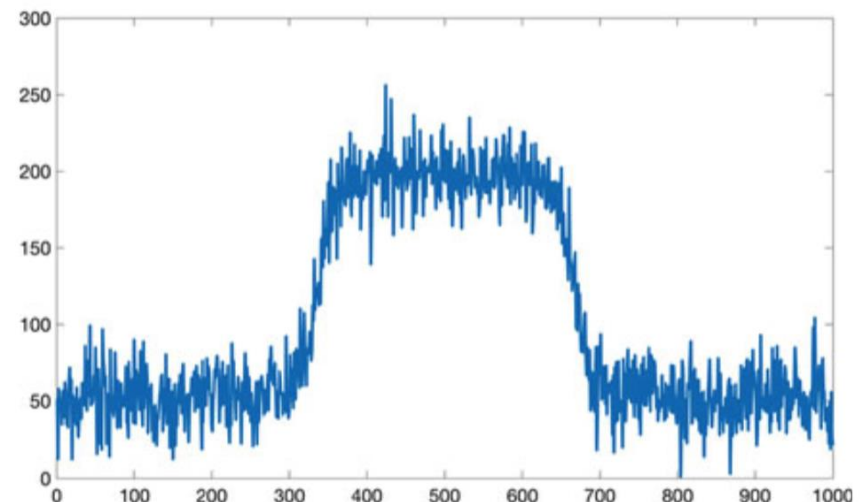
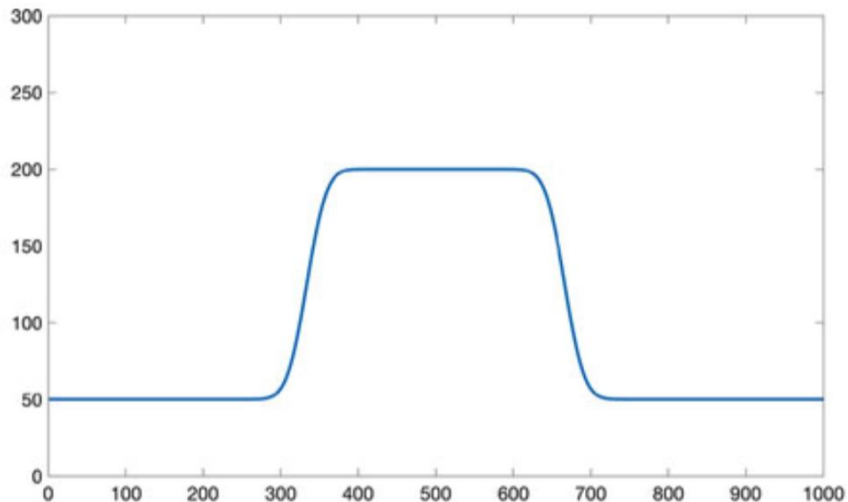
Result

- They performed 1000 trials applying thresholding to previous 1D function each time with an additive noise generated from a Gaussian distribution with mean 0 and standard deviation 2



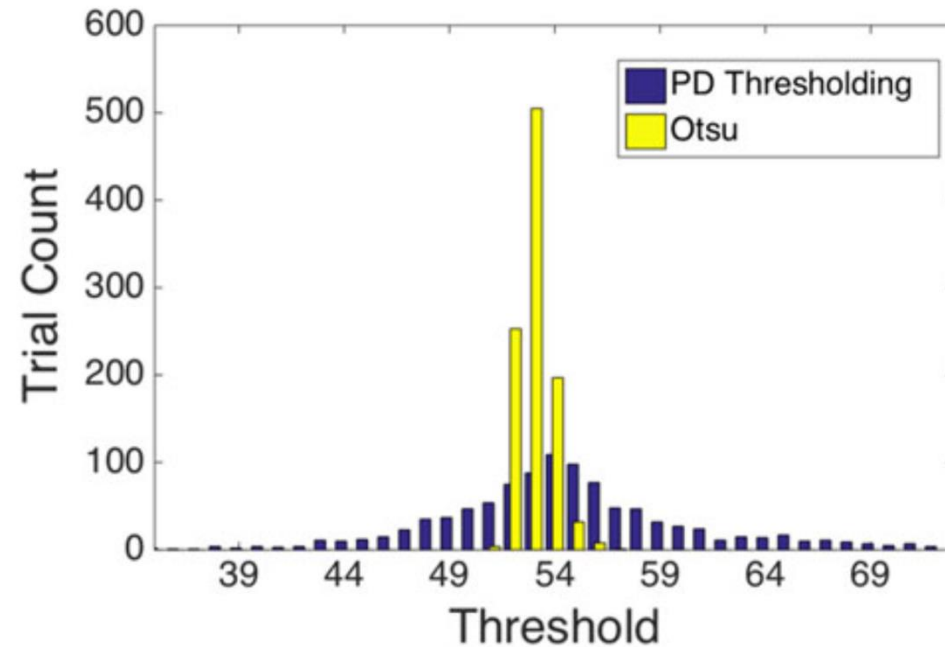
Result

- They performed 1000 trials applying thresholding to previous 1D function each time with an additive noise generated from a Gaussian distribution with mean 0 and standard deviation 2
- They then use Otsu's method and their method to generate threshold and compute the Betti number

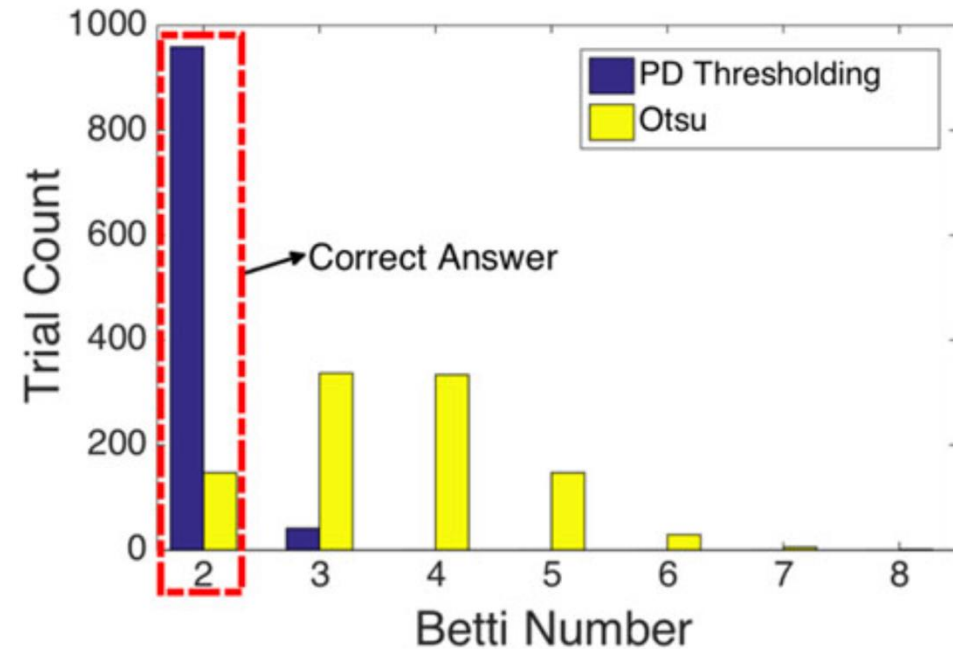


Result

- Their proposed method constantly outperforms Otsu's in terms of the inference of Betti numbers



(a) PD Thresholding Method



(b) Betti numbers for each trial

Result

Original

Otsu

PD Thresholding



$$\hat{\beta} = (\beta_0, \beta_1)$$

(a) $\hat{\beta} = (2, 6)$

(b) $\beta(f_{120}^-) = (2, 8)$

(c) $\beta(f_{132}^-) = (3, 6)$
and $\beta(f_{132}^-, L) = (2, 6)$

Result

Original

Otsu

PD Thresholding

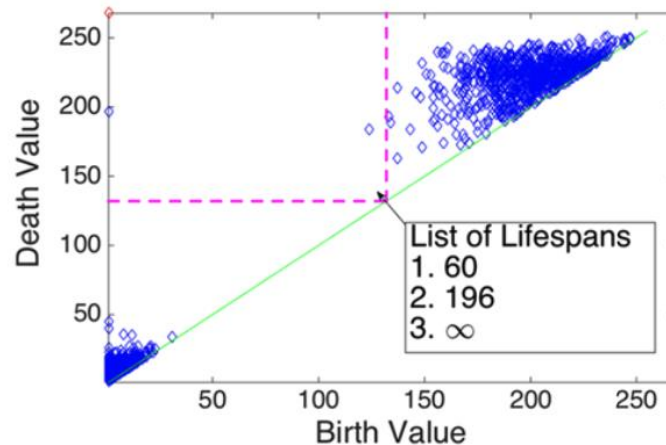


$$\hat{\beta} = (\beta_0, \beta_1)$$

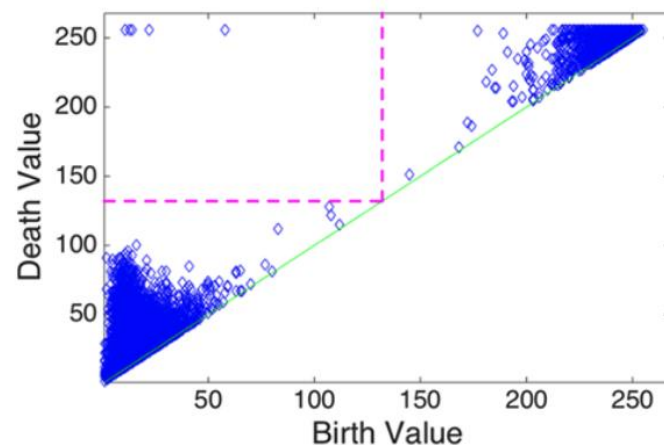
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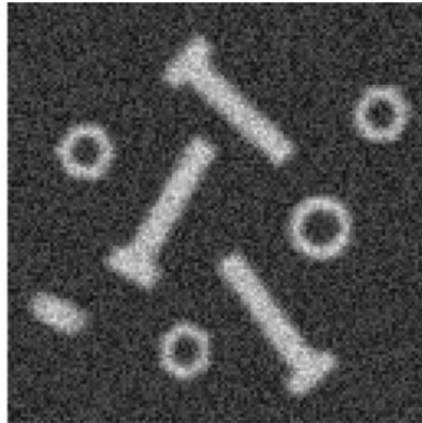
(d) \mathcal{P}_0



(e) \mathcal{P}_1

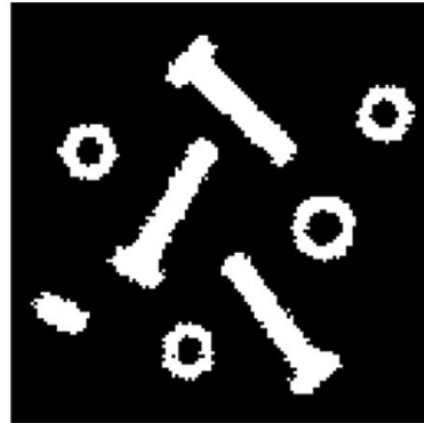
Result

Original



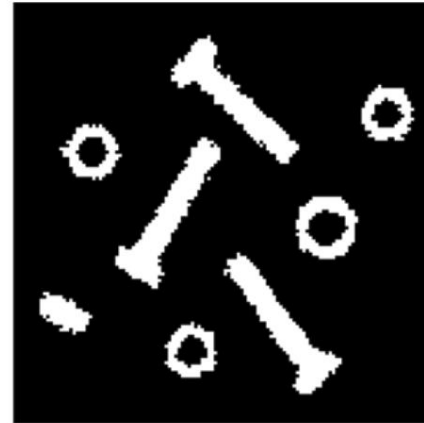
(a) $\hat{\beta} = (5, 8)$

Otsu



(b) $\beta(f_{117}^-) = (6, 13)$

PD Thresholding



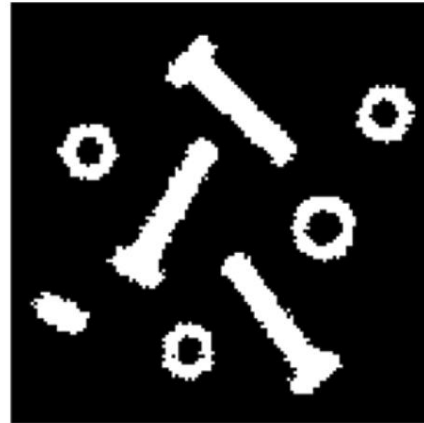
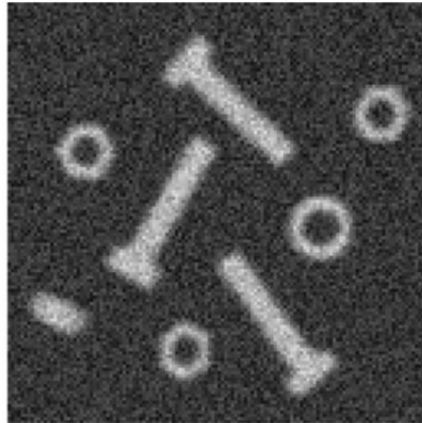
(c) $\beta(f_{131}^-) = (5, 11)$
and $\beta(f_{131}^-, L) = (5, 8)$

Result

Original

Otsu

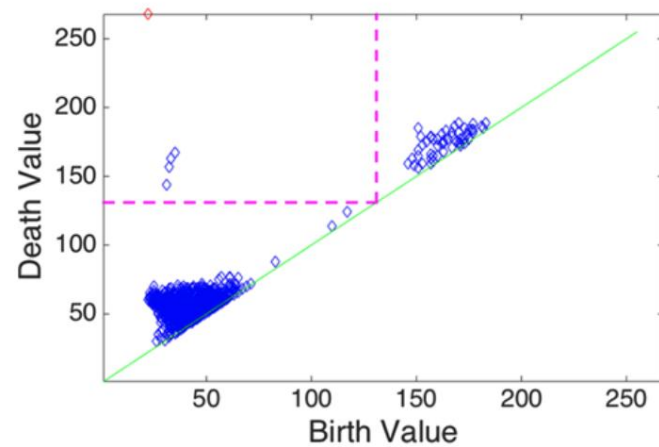
PD Thresholding



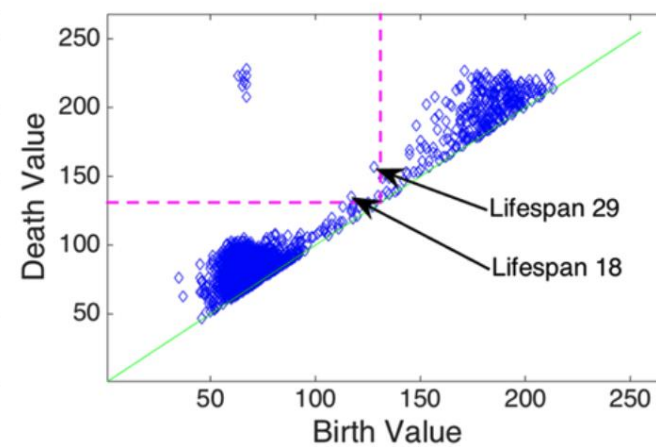
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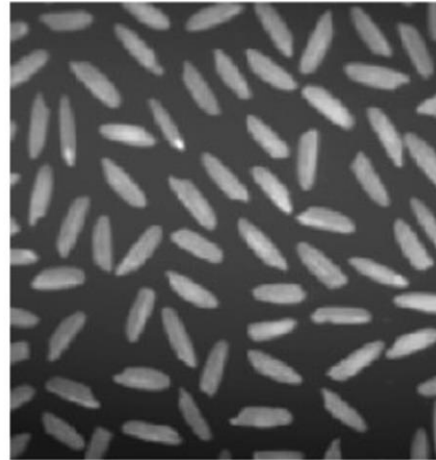
(d) \mathcal{P}_0



(e) \mathcal{P}_1

Result

Original



(a) $\hat{\beta} = (1, 52)$

Otsu



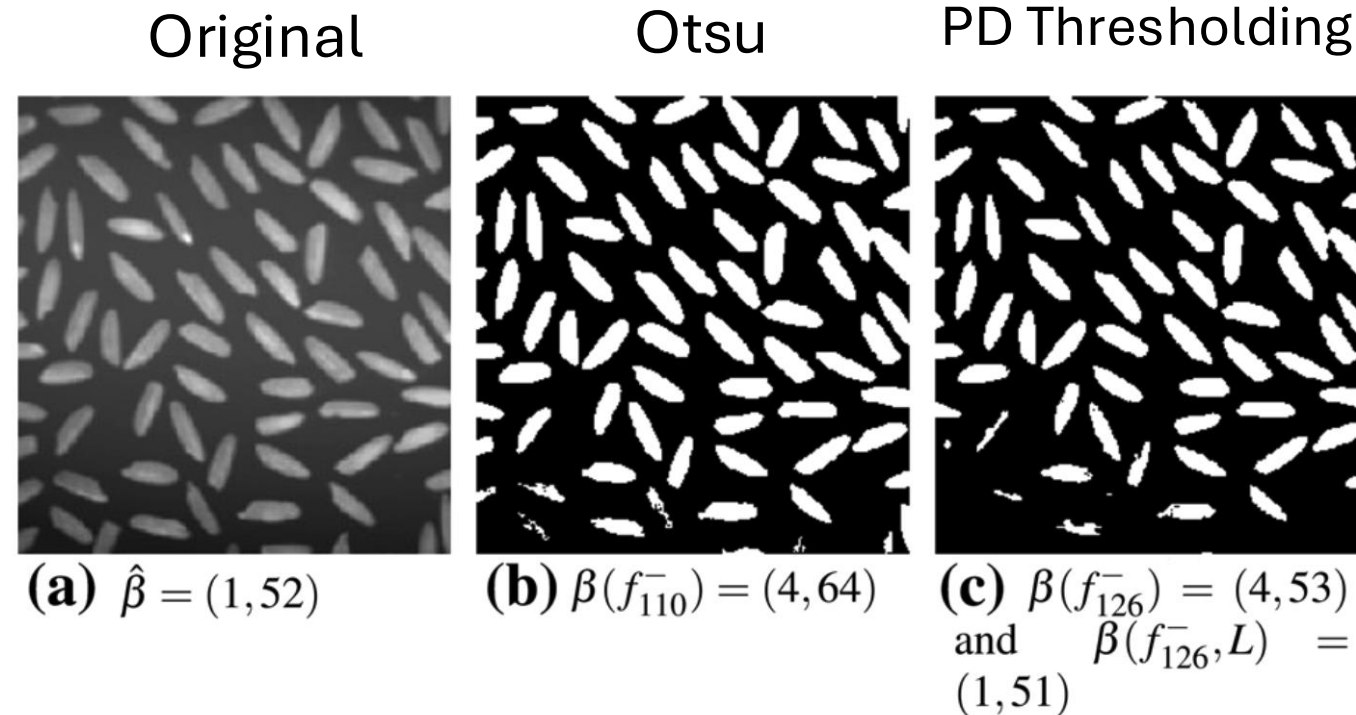
(b) $\beta(f_{110}^-) = (4, 64)$

PD Thresholding



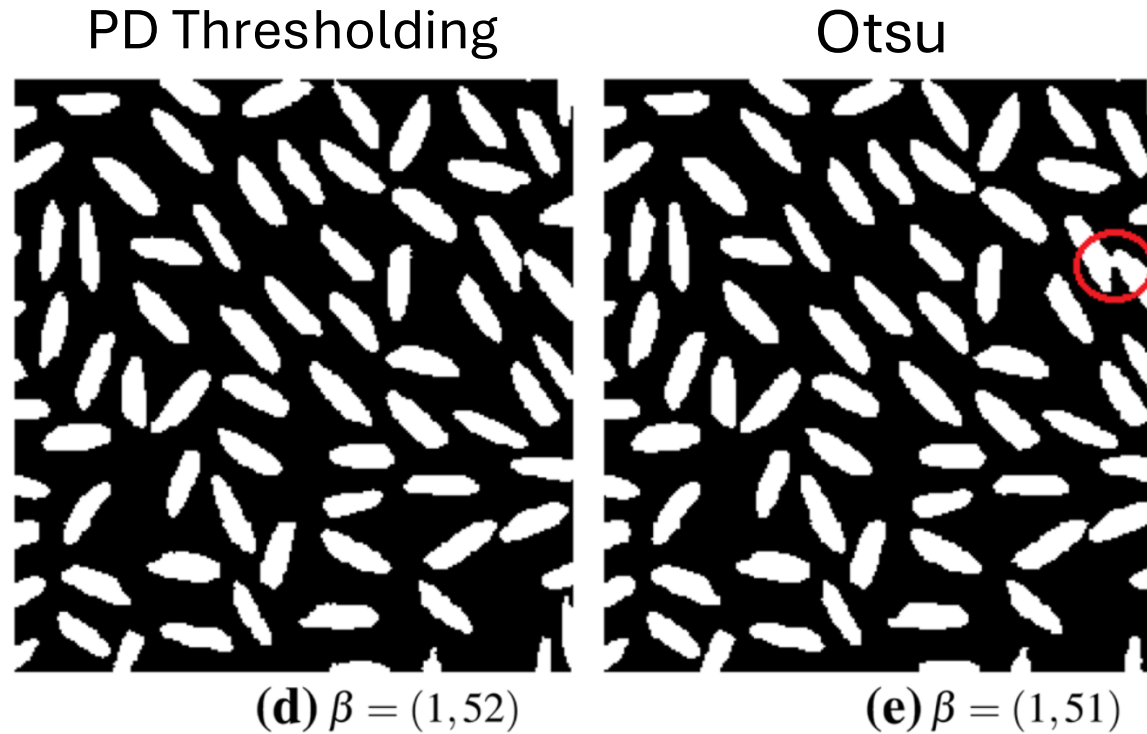
(c) $\beta(f_{126}^-) = (4, 53)$
and $\beta(f_{126}^-, L) = (1, 51)$

Result



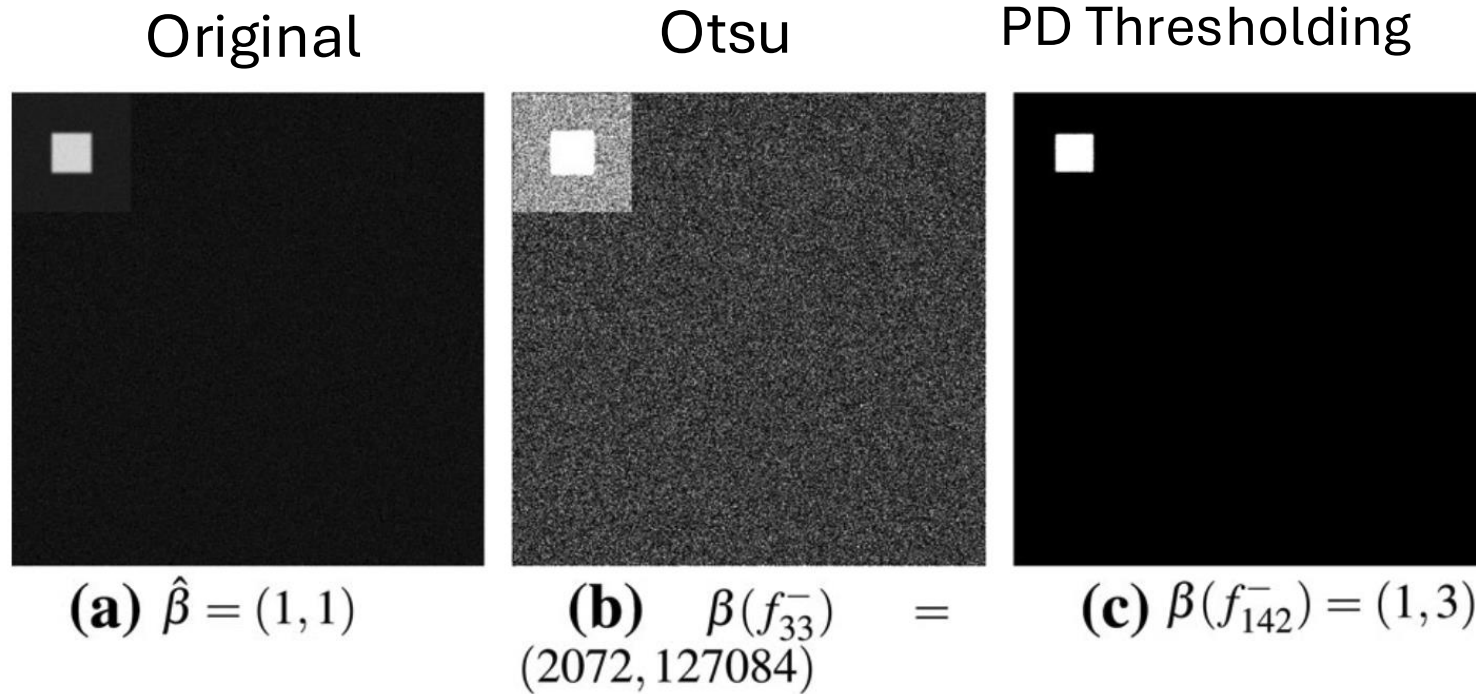
- Although lifespan cutoff gives accurate Betti number, binary image in (c) produced by their method does not represent the original image well, in particular, in the lower region. This appears to be due to the **inconsistent illumination in this image** (difference in brightness)

Result



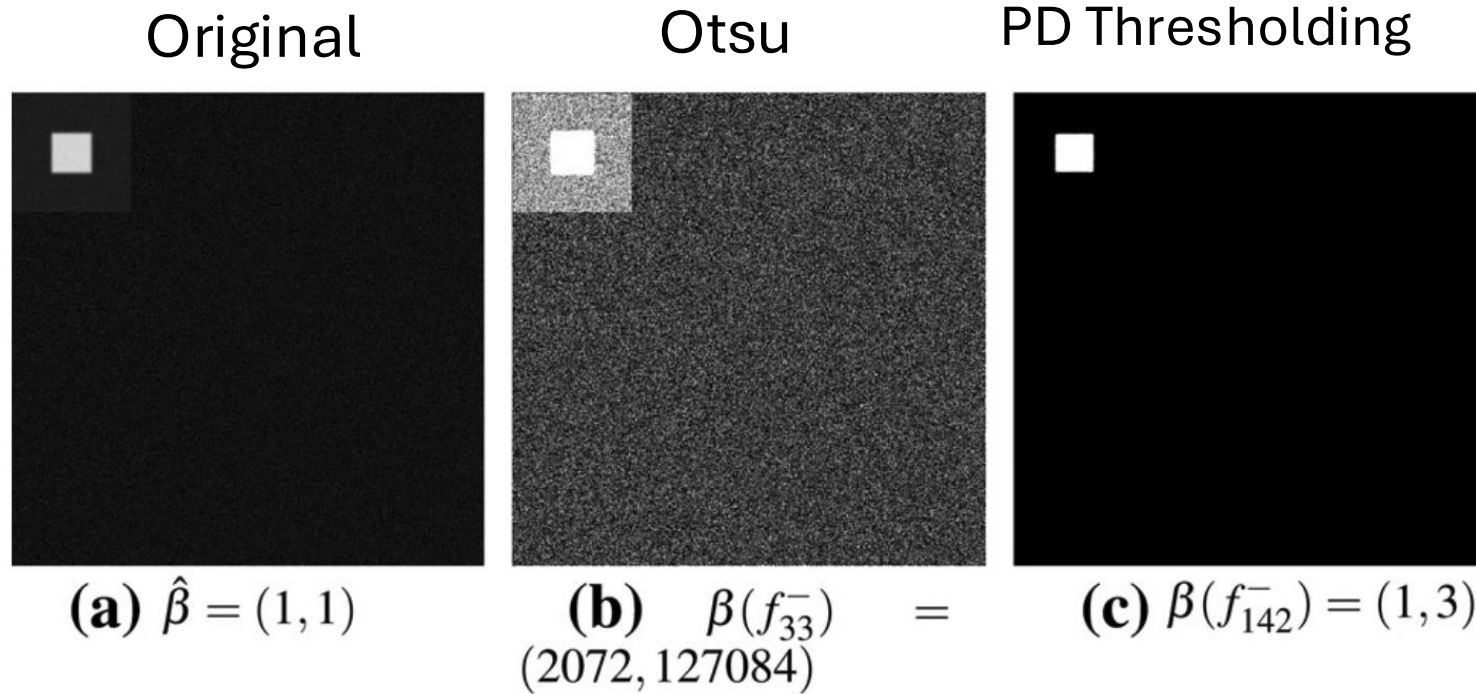
- By manually partitioning the image into a 4×4 grid of subimages and segmenting each separately, both results are good. But Otsu's still produces a topological error (**red**)

Another synthetic dataset



1. Similarly to the 1D function, they start with a black / white image with a white square on the upper-left corner.
2. They then add some noise within a (slightly larger) square around the white square
3. Finally, they add noise on the whole image

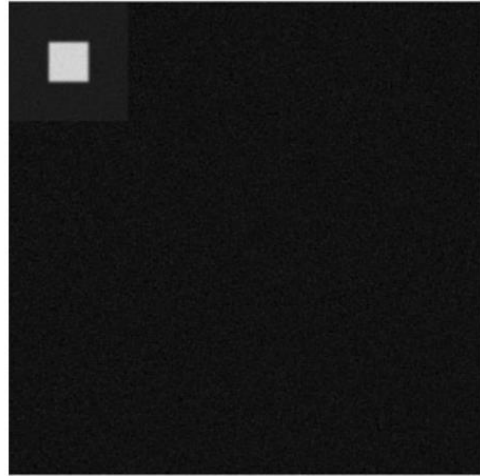
Another synthetic dataset



- Histogram-base segmentation methods (e.g., Otsu) are strongly influenced by the large dark region
- This may result in losing the essential topological features of one connected component and a single hole ($\hat{\beta} = (1, 1)$)

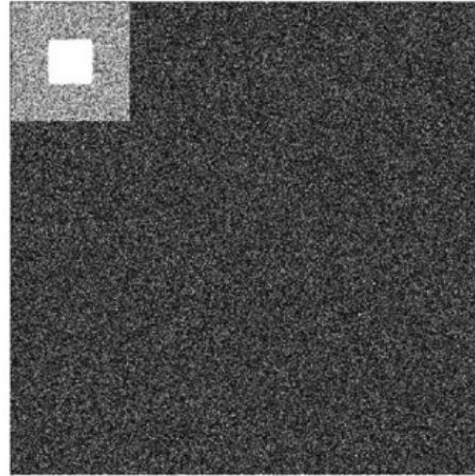
Another synthetic dataset

Original



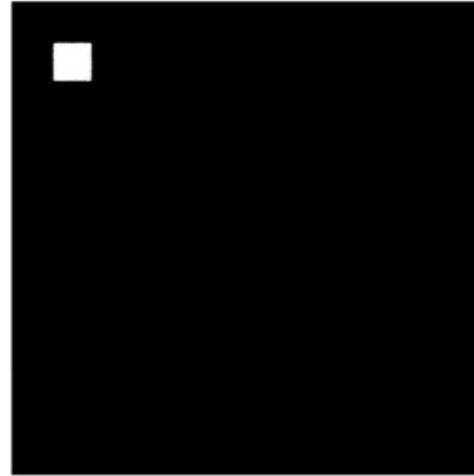
(a) $\hat{\beta} = (1, 1)$

Otsu



(b) $\beta(f_{33}^-) =$
(2072, 127084)

PD Thresholding

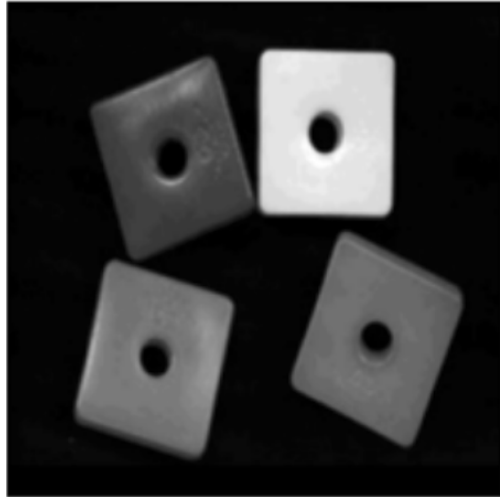


(c) $\beta(f_{142}^-) = (1, 3)$

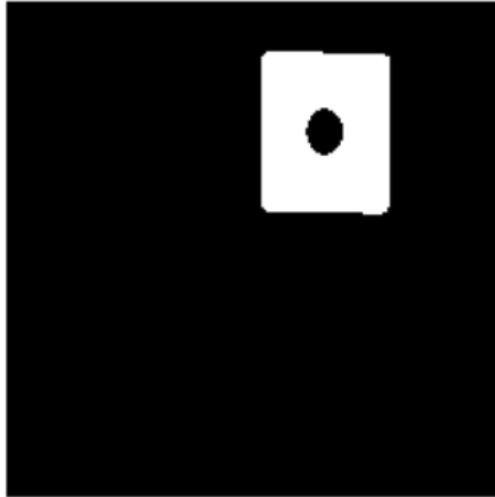
- And of course, with lifespan cut-off, PD thresholding produces correct result

An example with disparate brightness

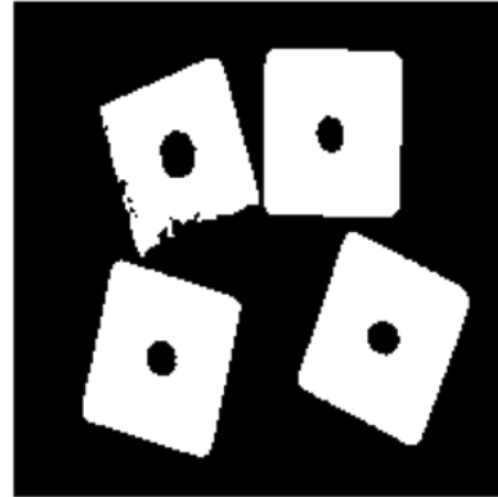
Original



Otsu



PD Thresholding



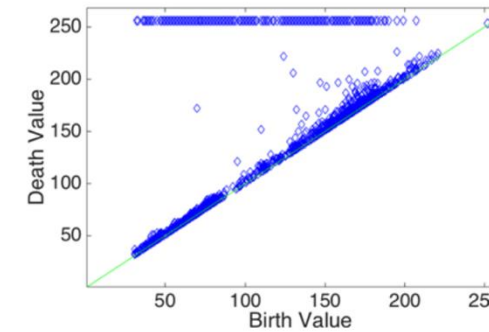
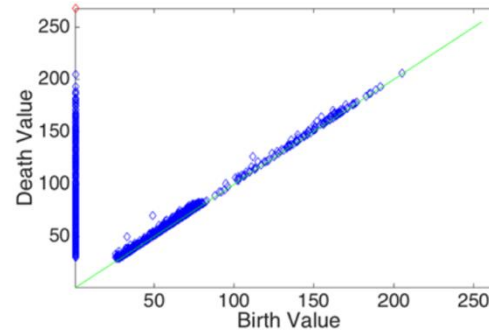
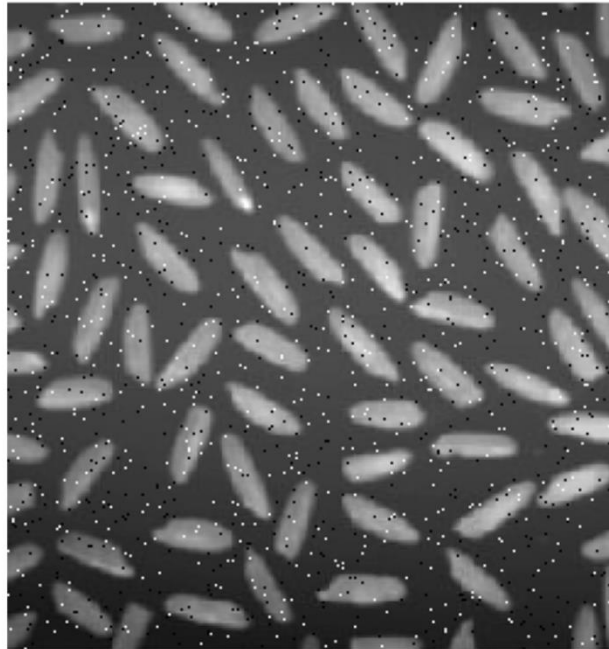
(a) $\hat{\beta} = (5, 4)$

(b) $\beta(f_{185}^-) = (2, 1)$

(c) $\beta(f_{66}^-) = (5, 4)$

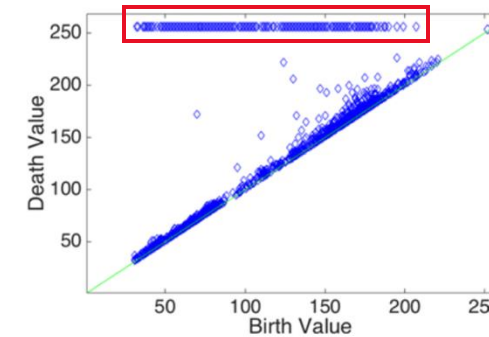
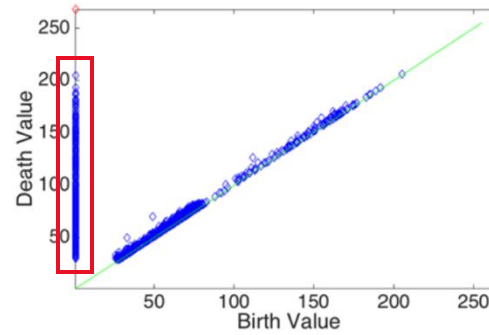
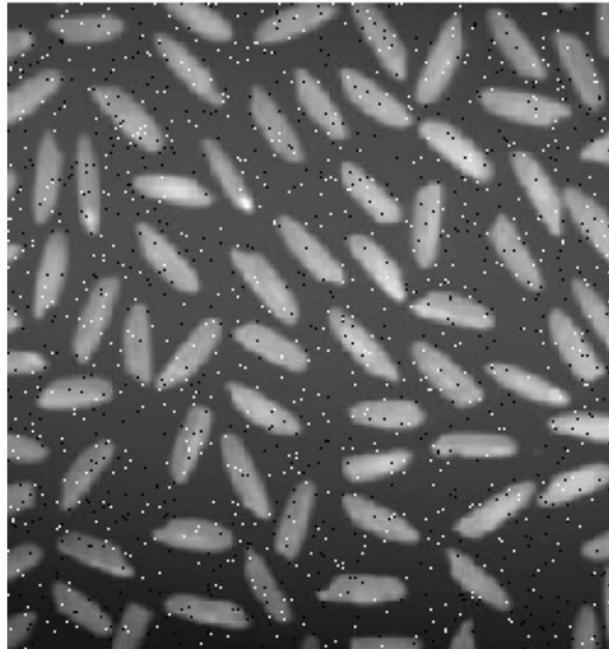
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An example that PD thresholding fails



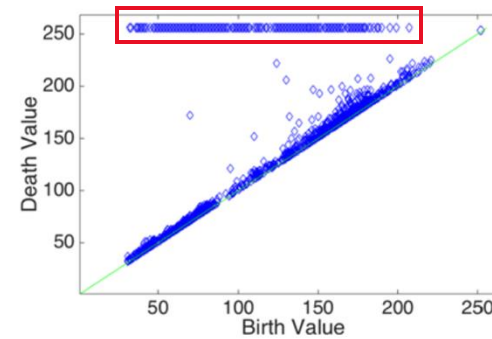
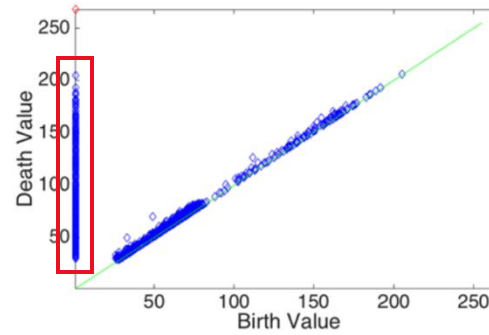
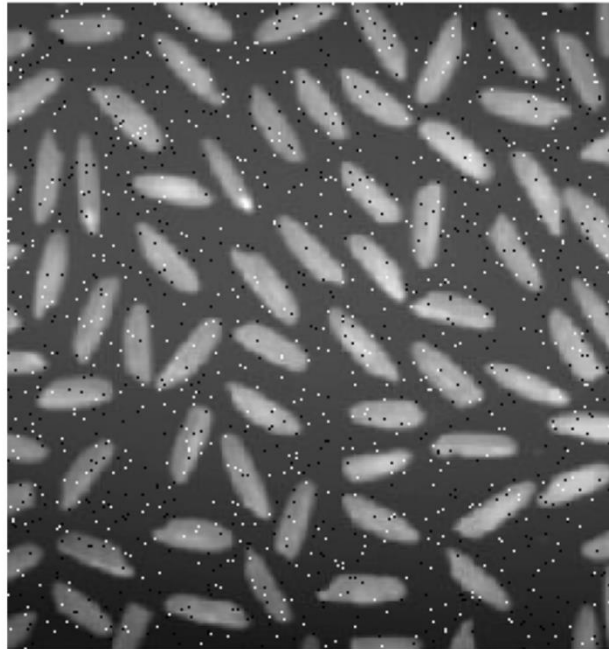
- Add “salt and pepper” noise which randomly resets some pixels to white or black

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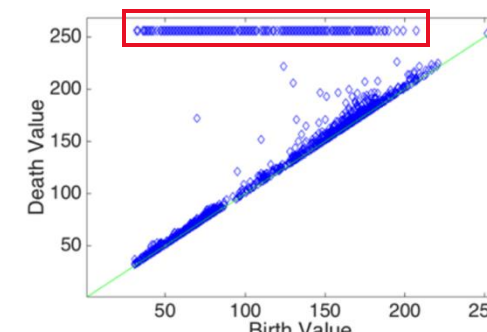
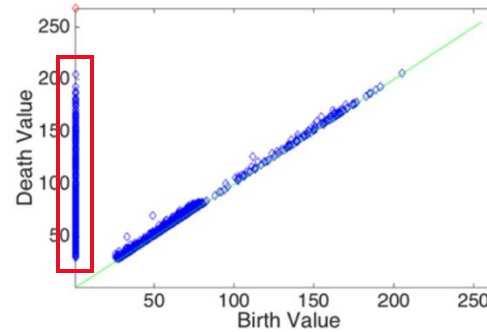
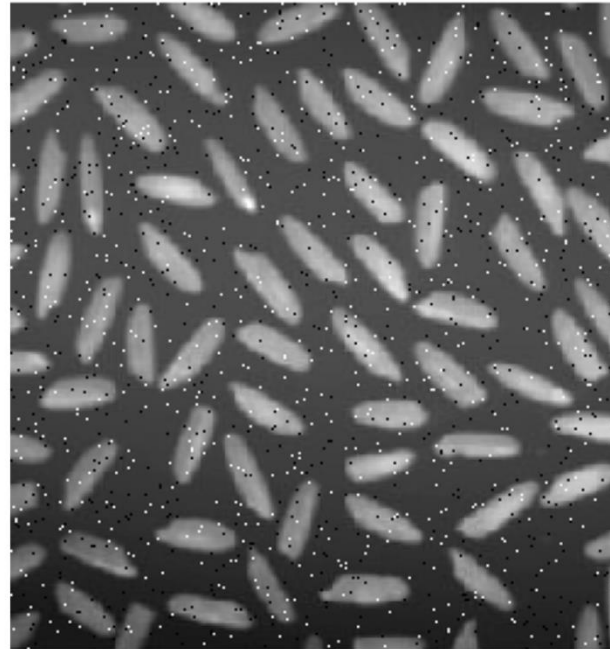
- Add “salt and pepper” noise which randomly resets some pixels to white or black
- The produced PD contain a lot of long-lived intervals due to the small white or black pixels

More explanation on why it fails in this case



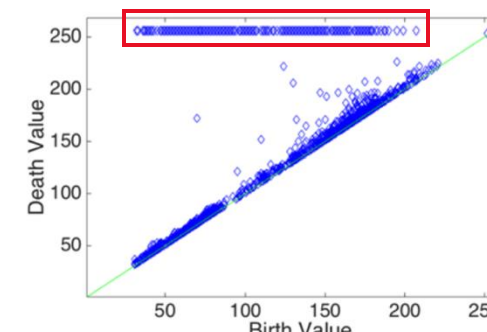
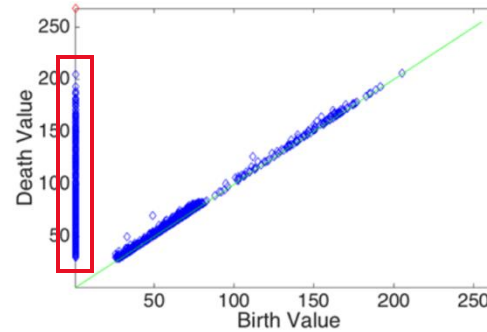
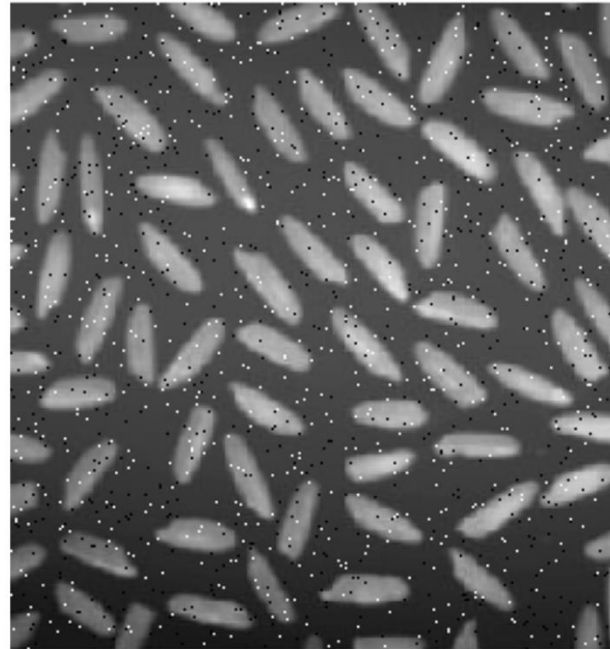
- Recall the stability of PD: $d_B(PD(f), PD(g)) \leq \|f - g\|_\infty$

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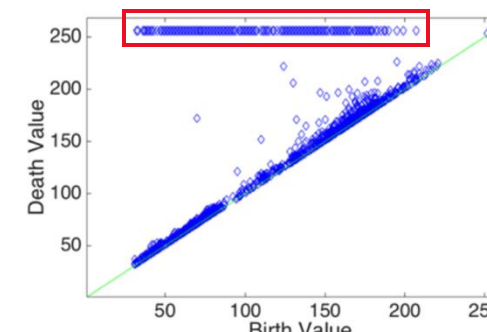
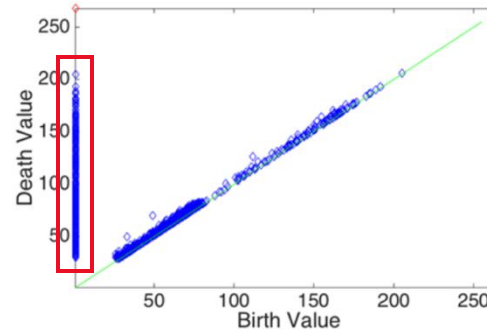
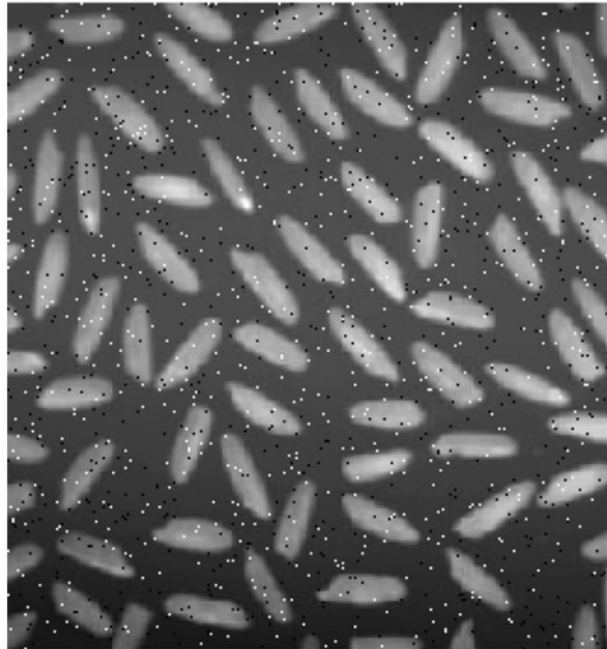
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More explanation on why it fails in this case



- Recall the stability of PD: $d_B(PD(f), PD(g)) \leq \|f - g\|_\infty$
- The bound $\|f - g\|_\infty$ is determined by the maximum value difference **on a single pixel**
- With the “salt-and-pepper” noise, distance of the two functions (before and after adding noise) can be arbitrarily large

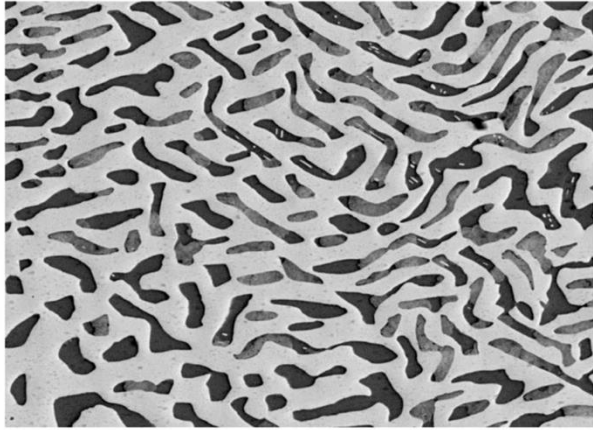
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- The bound $\|f - g\|_\infty$ is determined by the maximum value difference **on a single pixel**
- With the “salt-and-pepper” noise, distance of the two functions (before and after adding noise) can be arbitrarily large
- So the two PDs are not stable anymore

Bi–Sn (Bismuth Tin) binary alloy

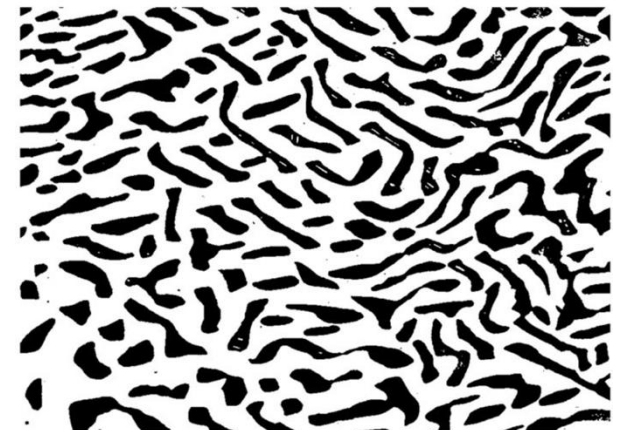
Original



Otsu



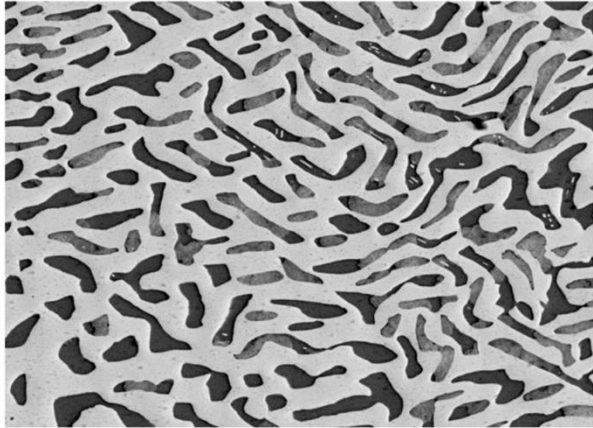
PD Thresholding



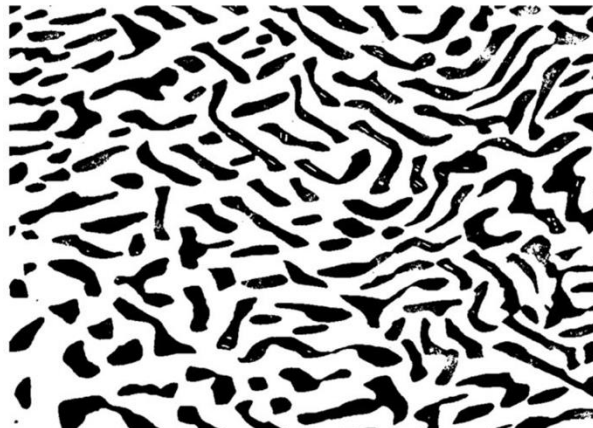
- Observe that the black region contains a lot of connected components which does not form two many tunnels (1-holes), so $\beta_0 \gg \beta_1$

Bi-Sn (Bismuth Tin) binary alloy

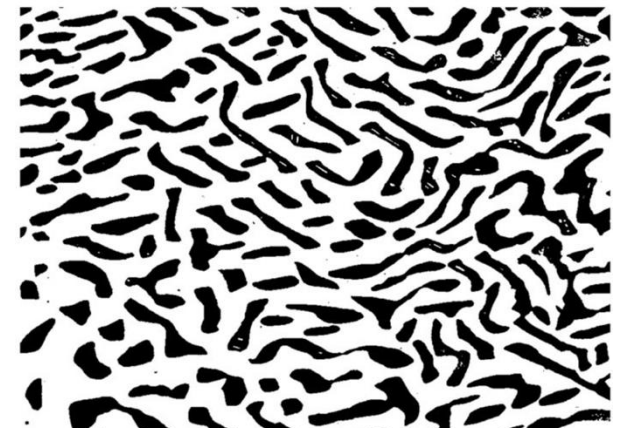
Original



Otsu



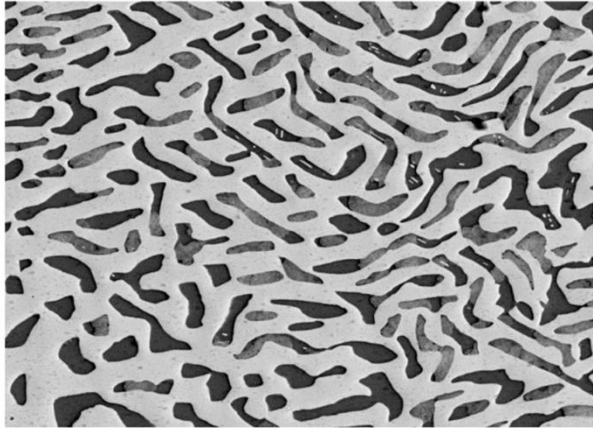
PD Thresholding



- Observe that the black region contains a lot of connected components which does not form too many tunnels (1-holes), so $\beta_0 \gg \beta_1$
- Otsu : $\beta(f^-) = (206, 432)$ with threshold 134
- PD-based: $\beta(f^-) = (194, 143)$ with threshold 151

Bi-Sn (Bismuth Tin) binary alloy

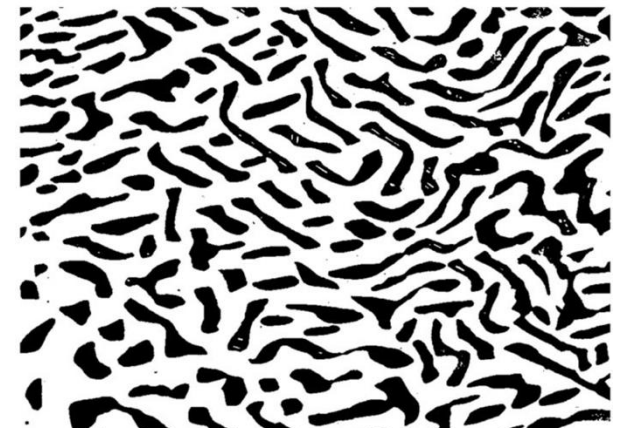
Original



Otsu



PD Thresholding



- Observe that the black region contains a lot of connected components which does not form too many tunnels (1-holes), so $\beta_0 \gg \beta_1$
- Otsu : $\beta(f^-) = (206, 432)$ with threshold 134
- PD-based: $\beta(f^-) = (194, 143)$ with threshold 151
- With length cut-off, $\beta(f^-) = (149, 33)$

Variations

- One variation of the objective function is to replace $\Phi(t)$ with $\Phi_i(t)$ which is the same as $\Phi(t)$ by considering only the i -th PD
 - So $\Phi_i(t)$ only tries to optimize over the i -dimensional homology features

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- Then, we can assign a weight w_i to each dimension p indicating how one wants to stress this dimension

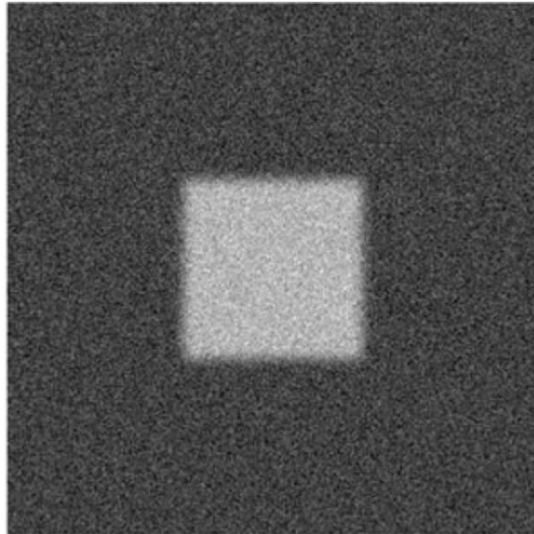
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 - So $\Phi_i(t)$ only tries to optimize over the i -dimensional homology features
- Then, we can assign a weight w_i to each dimension p indicating how one wants to stress this dimension
- Then final objective function is a weighted sum of $\Phi_i(t)$:

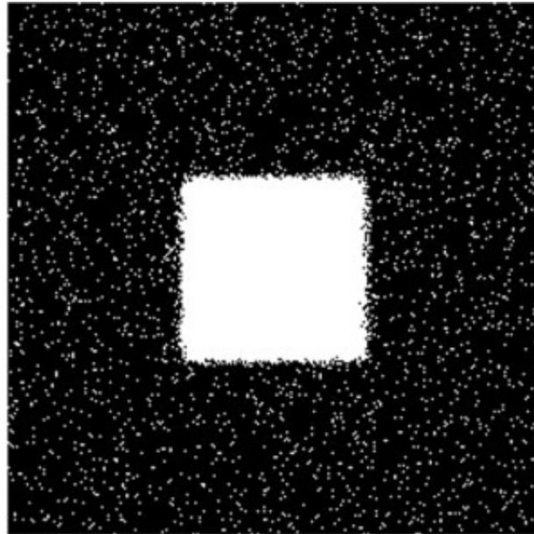
$$T = \arg \max \sum_{i=0}^{\dim - 1} w_i \Phi_i(t),$$

Variations

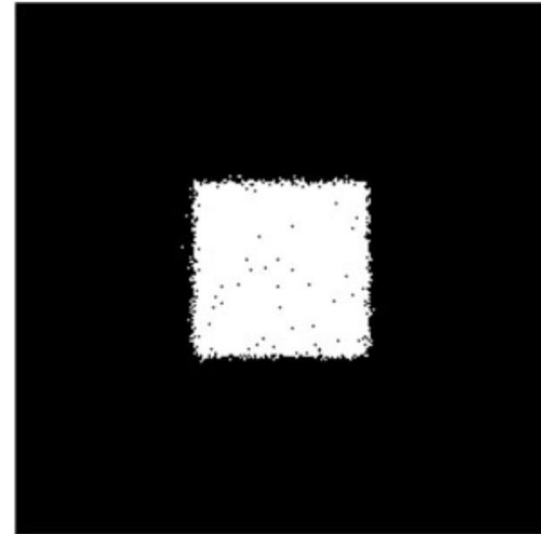
Original



$w_0 = 1, w_1 = 0$



$w_0 = 0, w_1 = 1$



Variations

$$\Psi(t) = \frac{1}{\#\mathcal{P}(t) + 1} \sum_{(b,d) \in \mathcal{P}(t)} [(d-t)(t-b)]^p$$

Variations

- Take the p -th power for each term in $\Psi(t)$, to put greater emphasis on long intervals

$$\Psi(t) = \frac{1}{\#\mathcal{P}(t) + 1} \sum_{(b,d) \in \mathcal{P}(t)} [(d-t)(t-b)]^p$$