

# Persistent 1-Cycles: Definition, Computation, and Some Applications

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# Persistence diagram

Birth-death and persistence diagrams (barcodes)

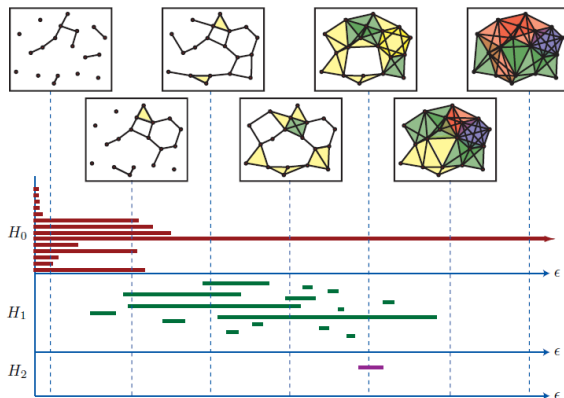


Figure: Courtesy of [Ghrist, 2008]

# Persistence module

- Simplicial filtration ( $\mathcal{F}$ ):

$$\emptyset = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K$$

- Persistence module ( $\mathcal{P}_d^{\mathcal{F}}$ ):

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H_d(K_1) & \longrightarrow & H_d(K_2) & \longrightarrow & \dots & \longrightarrow & H_d(K_n) \\
 \uparrow & & \uparrow & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & 1 & \longrightarrow & 2 & \longrightarrow & \dots & \longrightarrow & n
 \end{array}$$

$$\mathcal{P}_d^{\mathcal{F}} : \mathbb{Z}^+ \rightarrow \mathbf{Vec}$$

# Interval module decomposition

- Interval module ( $\mathcal{I}^{[\beta, \delta)}$ ):

$$\mathcal{I}^{[\beta, \delta)}(i) = \begin{cases} \mathbb{Z}_2 & i \in [\beta, \delta) \\ 0 & \text{otherwise} \end{cases}$$

- Interval module decomposition

$$\mathcal{P}_d^{\mathcal{F}} = \bigoplus_{j \in J} \mathcal{I}^{[\beta_j, \delta_j)}$$

- Persistence diagram

$$\text{Dgm}(\mathcal{P}_d^{\mathcal{F}}) = \{[\beta_j, \delta_j) \mid j \in J\}$$

## Definition (Persistent $d$ -basis)

An indexed set of  $d$ -cycles  $\{c_j \mid j \in J\}$  for  $\mathcal{F}$  s.t.

- $\mathcal{P}_d^{\mathcal{F}} = \bigoplus_{j \in J} \mathcal{I}^{[\beta_j, \delta_j]}$
- For each  $j \in J$  and  $\beta_j \leq k < \delta_j$ ,  $\mathcal{I}^{[\beta_j, \delta_j]}(k) = \{0, [c_j]\}$ .

## Definition (Persistent $d$ -cycle)

For  $[\beta, \delta] \in \text{Dgm}(\mathcal{P}_d^{\mathcal{F}})$ , it is a  $d$ -cycle  $c$  for  $[\beta, \delta)$  s.t.

- $\delta = +\infty$  (**infinite interval**):  $c$  is a cycle in  $K_\beta$  containing  $\sigma_\beta^{\mathcal{F}}$
- $\delta \neq +\infty$  (**finite interval**):  $c$  is a cycle in  $K_\beta$  containing  $\sigma_\beta^{\mathcal{F}}$  &  $c$  is not a boundary in  $K_{\delta-1}$  but becomes boundary in  $K_\delta$

## Theorem

An indexed set of  $d$ -cycles  $\{c_j \mid j \in J\}$  is a persistent  $d$ -basis of  $\mathcal{F}$   
 $\iff c_j$  is a persistent  $d$ -cycle for every  $[\beta_j, \delta_j) \in \text{Dgm}(\mathcal{P}_d^{\mathcal{F}})$

## Definition (Minimal persistent $d$ -cycle)

Persistent  $d$ -cycle for the interval with the minimal weight

## Definition (Minimal persistent $d$ -basis)

- A persistent  $d$ -basis  $\{c_j \mid j \in J\}$
- $\forall j \in J, c_j$  is a minimal persistent  $d$ -cycle for  $[\beta_j, \delta_j)$

## Problem (PCYC-FIN $_d$ )

Given: Simplicial complex  $K$ , filtration  $\mathcal{F}$ , finite interval  $[\beta, \delta) \in \text{Dgm}_d(\mathcal{F})$

Compute: A minimal persistent  $d$ -cycle for the interval

## Problem (PCYC-INF $_d$ )

Similar to PCYC-FIN $_d$ , only the interval  $[\beta, +\infty)$  becomes an infinite one

## Theorem

$PCYC-FIN_1$  is NP-hard (reduce from MAX-2SAT)

## Remark

$PCYC-INF_1$  can be computed in polynomial time by finding the shortest path

# Algorithm 1: A framework for persistent 1-basis

Maintains a basis  $\mathcal{B}_i$  for  $H_1(K_i) \forall i = 0, \dots, n$  ( $\mathcal{B}_0 \leftarrow \emptyset$ ):

- for  $i = 1, \dots, n$ :
  - $\sigma_i^{\mathcal{F}}$  is a **positive** 1-simplex:
    - find a 1-cycle  $c_i$  in  $K_i$  containing  $\sigma_i^{\mathcal{F}}$
    - $\mathcal{B}_i \leftarrow \mathcal{B}_{i-1} \cup c_i$
  - $\sigma_i^{\mathcal{F}}$  is a **negative** 2-simplex:
    - find a  $G \subseteq \mathbb{Z}$  s.t.  $\forall g \in G, c_g \in \mathcal{B}_{i-1}$  and  $\sum_{g \in G} [c_g] = 0$  in  $K_i$
    - assign  $\sum_{g \in G} c_g$  to  $[g^*, i)$  as a persistent cycle ( $g^* = \max G$ )
    - $\mathcal{B}_i \leftarrow \mathcal{B}_{i-1} \setminus c_{g^*}$
  - otherwise:  $\mathcal{B}_i \leftarrow \mathcal{B}_{i-1}$
- at the end, for each cycle  $c_j \in \mathcal{B}_n$ , assign  $c_j$  to  $[j, +\infty)$

Finding  $G$  can be done in  $O(n^\omega)$  [Dey et al., 2014]



## Algorithm 2: Meaningful persistent 1-cycles

Modifying Algorithm 1:

- $\sigma_i^{\mathcal{F}}$  **positive**: let  $c_i$  be shortest cycle containing  $\sigma_i^{\mathcal{F}}$

Constructing  $c_i$ :

- add  $\sigma_i^{\mathcal{F}}$  to the shortest path between vertices of  $\sigma_i^{\mathcal{F}}$  in  $K_{i-1}$
- Dijkstra's algorithm on 1-skeleton of  $K_{i-1}$



## Algorithm 2: Meaningful persistent 1-cycles

Algorithm 2 produces meaningful persistent 1-cycles:

- Shortest cycle: Good representation  $\implies$   
Sum of shortest cycles: Good representative for interval

### Proposition

*In Algorithm 2, when  $\sigma_i^{\mathcal{F}}$  is a negative 2-simplex, if  $|G| = 1$ , then  $\sum_{g \in G} c_g$  is a minimal persistent 1-cycle*

Above scenarios are quite common in practice

Problem of Algorithm 2:

- Cycles of all intervals (including noises) are computed
- Often, user only cares about some large intervals

*We introduce Algorithm 3 ...*

Problem of Algorithm 2:

- Cycles of all intervals (including noises) are computed
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We introduce Algorithm 3 ...

### Proposition

In Algorithm 1 and 2, when  $\sigma_i^{\mathcal{F}}$  is negative, for any  $g \in G$ , its corresponding interval satisfies:  $\beta_g \leq g^*$  and  $\delta_g \geq i$ .

Recall that  $\{c_g \mid g \in G\} \subseteq \mathcal{B}_{i-1}$  and  $\sum_{g \in G} [c_g] = 0$

$$\beta_g = g$$

$$\delta_g$$



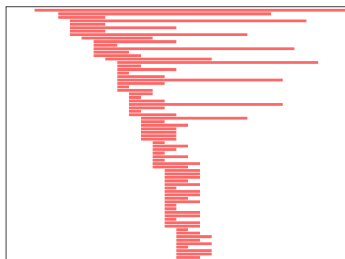
$$\beta_{g^*} = g^*$$

$$\delta_{g^*} = i$$



## Algorithm 3: Optimization for a single interval

- Compute persistent cycle for an interval  $[\beta, \delta)$ : Compute shortest cycles at birth indices whose corresponding intervals **contain**  $[\beta, \delta)$
- Long input interval:  
intervals containing it are a small subset
- Much faster than Algorithm 2:  
Less shortest path computations  
in practice
- Worst case of both:  
 $O(n^\omega + n^2 \log n) = O(n^\omega)$



## Algorithm 3: Optimization for a single interval

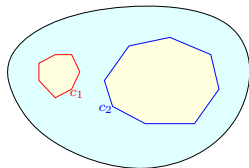
**Input:** The input of Algorithm 2 plus an interval  $[\beta, \delta)$

**Output:** A persistent 1-cycle for  $[\beta, \delta)$  output by Algorithm 2

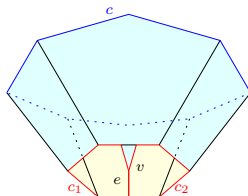
- 1:  $G' \leftarrow \emptyset$
- 2: **for**  $i \leftarrow 1, \dots, \beta$  **do**
- 3:     **if**  $\sigma_i^{\mathcal{F}}$  is positive **and** ( $\sigma_i^{\mathcal{F}}$  is paired with a  $\sigma_j^{\mathcal{F}}$  s.t.  $j \geq \delta$   
       **or**  $\sigma_i^{\mathcal{F}}$  never gets paired) **then**
- 4:          $c_i \leftarrow$  the shortest cycle containing  $\sigma_i^{\mathcal{F}}$  in  $K_i$
- 5:          $G' \leftarrow G' \cup \{i\}$
- 6: find a  $G \subseteq G'$  s.t.  $\sum_{g \in G} [c_g] = 0$  in  $K_\delta$
- 7: output  $\sum_{g \in G} c_g$  as the persistent 1-cycle for  $[\beta, \delta)$

# Stability of persistent 1-cycles

Instability: Minimal cycles & cycles by our algorithm



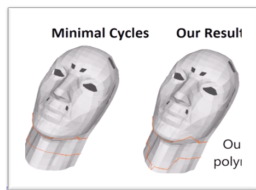
(a) Minimal cycles



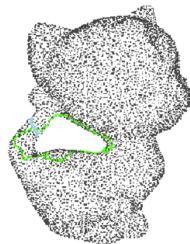
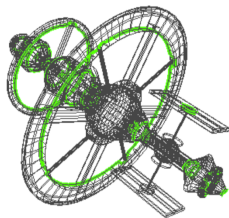
(b) Our cycles

Our algorithm:

- Works well in practice
- Has nice properties



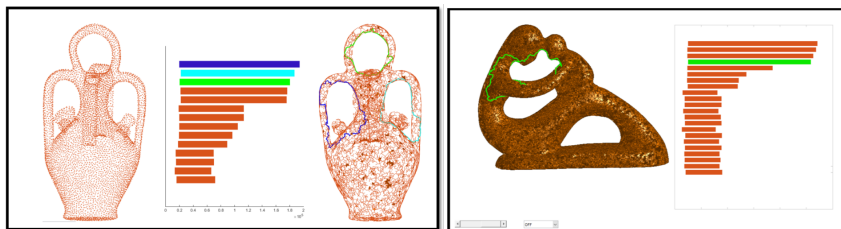
# Persistent 1-cycles for 3D point clouds





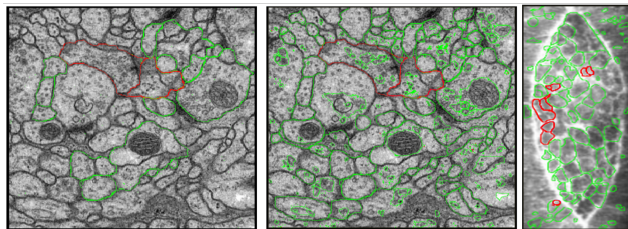
# Persistent 1-cycles for 3D point clouds

Snapshot from our software



# Image segmentation and characterization

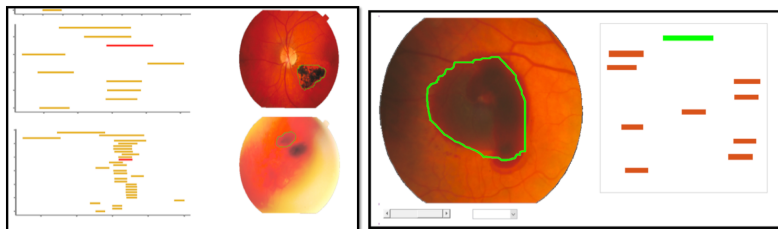
Sections from *Drosophila* larva tissues and embryos



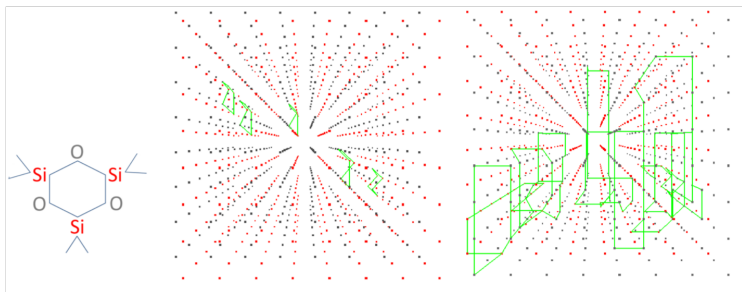
Left: Top 20 intervals, Center: Top 350 intervals, Right: Top 200 intervals

# Image segmentation and characterization

STARE dataset for hemorrhage detection





# Hexagonal structure of crystalline solids



Left: Hexagonal cyclic structure of silicate glass,  
 Center: 1-cycles, Red dots: Silicon, Grey dots: Oxygen.  
 Right: Persistent 1-cycles computed for the long range order

# References

-  Dey, T. K., Fan, F., and Wang, Y. (2014).  
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# Thank You