Can zigzag persistence be computed as efficiently as the standard version?

Geometry and Topology Seminar, Oregon State University

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#### **Topological data analysis (TDA)**





## **Persistent homology**



- As we add each simplex in the sequence, the homology of the complex changes, with:
  - Birth: betti number increased by 1
  - Death: betti number decreased by 1

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  - Birth: betti number increased by 1
  - Death: betti number decreased by 1
- The birth and death points can be canonically paired, resulting in persistence barcode:



#### Persistent homology: example



An interval: [b, d) = [b, d - 1]

#### Persistent homology: Simplex-wise filtration



Expand each arrow into a sequence of additions of a single simplex

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Simplex-wise filtration: a sequence of additions of a single simplex

$$\mathcal{F}: \emptyset = K_0 \stackrel{\sigma_0}{\longleftrightarrow} K_1 \stackrel{\sigma_1}{\longleftrightarrow} \cdots \stackrel{\sigma_{m-1}}{\longleftrightarrow} K_{m-1} \stackrel{\sigma_m}{\longleftrightarrow} K_m$$

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### **Persistent homology: Pipeline**

**Standard filtration:** 

$$\mathcal{F}: K_0 \stackrel{\sigma_0}{\longleftrightarrow} K_1 \stackrel{\sigma_1}{\longleftrightarrow} \cdots \stackrel{\sigma_{m-2}}{\longleftrightarrow} K_{m-1} \stackrel{\sigma_{m-1}}{\longleftrightarrow} K_m$$

Induced module:

$$\mathsf{H}_p(\mathcal{F}): \mathsf{H}_p(K_0) \to \mathsf{H}_p(K_1) \to \dots \to \mathsf{H}_p(K_{m-1}) \to \mathsf{H}_p(K_m)$$
$$\downarrow$$

Interval decomposition: [Gabriel 72]  $H_p(\mathcal{F}) = \bigoplus_{\alpha \in \mathcal{A}} \mathcal{I}^{[b_\alpha, d_\alpha]}$  $\Downarrow$ 

*p*-th persistence barcode:  $\mathsf{Pers}_p(\mathcal{F}) = \{ [b_\alpha, d_\alpha] \mid \alpha \in \mathcal{A} \}$ 

## **Persistent homology: Pipeline**

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*p*-th persistence barcode:  $\mathsf{Pers}_p(\mathcal{F}) = \{[b_\alpha, d_\alpha] \mid \alpha \in \mathcal{A}\}$ 

starts and ends with indices in the filtration

## **Persistent homology: Applications**



Features for ML [Zhao & Wang 19]



Topological regularizer for ML [Chen et al. 20]



Brain functional networks [Petri et al. 14]



Binarizing microstructures [Patel et al. 22]

## **Zigzag** persistence

Zigzag filtration:

 $\mathcal{F}: K_0 \stackrel{\sigma_0}{\longleftrightarrow} K_1 \stackrel{\sigma_1}{\longleftrightarrow} \cdots \stackrel{\sigma_{m-2}}{\longleftrightarrow} K_{m-1} \stackrel{\sigma_{m-1}}{\longleftrightarrow} K_m$ 

Gunnar Carlsson and Vin de Silva. Zigzag persistence. Foundations of Computational Mathematics, 10(4):367–405, 2010.

## Zigzag persistence

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## **Zigzag** persistence

Zigzag filtration:

$$\mathcal{F}: K_0 \xleftarrow{\sigma_0} K_1 \xleftarrow{\sigma_1} \cdots \xleftarrow{\sigma_{m-2}} K_{m-1} \xleftarrow{\sigma_{m-1}} K_m$$

↓ Induced module:

 $\begin{aligned} \mathsf{H}_{p}(\mathcal{F}) &: \mathsf{H}_{p}(K_{0}) &\longleftrightarrow \mathsf{H}_{p}(K_{1}) &\longleftrightarrow \mathsf{H}_{p}(K_{m-1}) &\longleftrightarrow \mathsf{H}_{p}(K_{m}) \\ & \downarrow \\ \mathbf{Interval decomposition:} \quad [\mathbf{Gabriel 72}] \\ & \mathsf{H}_{p}(\mathcal{F}) = \bigoplus_{\alpha \in \mathcal{A}} \mathcal{I}^{[b_{\alpha}, d_{\alpha}]} \\ & \downarrow \\ & p\text{-th persistence barcode:} \\ & \mathsf{Pers}_{p}(\mathcal{F}) = \{[b_{\alpha}, d_{\alpha}] \mid \alpha \in \mathcal{A}\} \end{aligned}$ 

Peter Gabriel. Unzerlegbare Darstellungen I. Manuscripta Mathematica, 6(1):71–103, 1972.

## **Applications of Zigzag Persistence**

- In time varying settings: functions, point cloud, vector field
  - G. Carlsson, V. de Silva, and D. Morozov. Zigzag persistent homology and real-valued functions. SoCG 2009.
  - W. Kim and F. Mémoli. Spatiotemporal persistent homology for dynamic metric spaces. DCG 2020.
  - T. Dey, M. Lipinsky, M. Mrozek, R. Slechta. Tracking dynamical features via continuation and persistence. SoCG 2022.

• In multiparameter persistence



## Non-Zigzag vs. Zigzag persistence



Simplices( $\sigma$ ) in zigzag: insertion( $\downarrow \sigma$ ), deletion( $\uparrow \sigma$ ), repeated( $\downarrow \sigma$ )

$$\mathcal{F}: \varnothing = K_0 \leftrightarrow \cdots \stackrel{\downarrow \sigma}{\longrightarrow} \cdots \stackrel{\uparrow \sigma}{\longleftrightarrow} \cdots \stackrel{\downarrow \sigma}{\longleftrightarrow} \cdots \leftrightarrow K_m = \varnothing$$

## Non-Zigzag vs. Zigzag: Computing

#### Non-zigzag [ELZ2000]

```
integer YOUNGEST (simplex \sigma^j)

\Lambda = \{\sigma \in \partial_{k+1}(\sigma^j) \mid \sigma \text{ positive}\};

loop

i = \max(\Lambda);

if T[i] is unoccupied then

store j and \Lambda in T[i]; exit

endif;

\Lambda = \Lambda + \Lambda^i

forever;

return i.
```

**Case**  $f_i$ : We compute the representation of the boundary of simplex  $\sigma$  in terms of the cycles  $Z_i$ , and then reduce the result among the boundaries, obtaining:  $\partial \sigma = Z_i v =$  $Z_i(B_i u + v')$ . There are two possibilities:

**Birth:** If v' = 0, then  $\partial \sigma$  is already a boundary, and addition of  $\sigma$  creates a new cycle, for example,  $C_i u - \sigma$ . We append this cycle to the matrix  $Z_i$ , and we append i + 1 to both the birth vector  $\mathbf{b}_i$  and the index vector  $\mathbf{idx}_i$  to get  $\mathbf{b}_{i+1}$  and  $\mathbf{idx}_{i+1}$ , respectively.

**Death:** If  $v' \neq 0$ , then let j be the row of the lowest nonzero element in vector v'. We output a pair  $(\mathbf{b}_i[j], i)$ . We append vector v' to the matrix  $B_i$ , and the corresponding chain  $(C_i u - \sigma)$  to the matrix  $C_i$  to obtain matrices  $B_{i+1}$  and  $C_{i+1}$ , respectively.

**Case**  $g_i$ : There are once again two possibilities:

- **Birth:** There is no cycle in matrix  $Z_i$  that contains simplex  $\sigma$ . Let j be the index of the first column in  $C_i$  that contains  $\sigma$ , let l be the index of the row of the lowest non-zero element in  $B_i[j]$ .
  - 1. Prepend  $D_i C_i[j]$  to  $Z_i$  to get  $Z'_i$ . Prepend i + 1 to the birth vector  $\mathbf{b}_i$  to get  $\mathbf{b}_{i+1}$ .
  - 2. Let  $c = C_i[j][\sigma]$  be the coefficient of  $\sigma$  in the chain  $C_i[j]$ . Let  $\mathbf{r}_{\sigma}$  be the row of  $\sigma$  in matrix  $C_i$ . We prepend the row  $-\mathbf{r}_{\sigma}/c$  to the matrix  $B_i$  to get  $B'_i$ .
  - 3. Subtract  $(\mathbf{r}_{\sigma}[k]/c) \cdot C_i[j]$  from every column  $C_i[k]$  to get  $C'_i$ .
  - 4. Subtract  $(B'_i[k][l]/B'_i[j][l]) \cdot B'_i[j]$  from every other column  $B'_i[k]$ .

#### Zigzag [CdSM2009]

- 5. Drop row l and column j from  $B'_i$  to get  $B_{i+1}$ , drop column l from  $Z'_i$ , and drop column j from  $C_i$  to get  $C_{i+1}$ .
- 6. Reduce  $Z_{i+1}$  initially set to  $Z'_i$ :
  - 1: while  $\exists k < j$  s.t. low  $Z_{i+1}[j] = \log Z_{i+1}[k]$  do 2:  $s = \log Z_{i+1}[j], s_k^j = Z_{i+1}[j][s]/Z_{i+1}[k][s]$ 3:  $Z_{i+1}[j] = Z_{i+1}[j] - s_k^j \cdot Z_{i+1}[k]$ 4: In  $B_{i+1}$ , add row j multiplied by  $s_k^j$  to row k

We set the index  $\mathbf{idx}_{i+1}$  of the prepended cycle to be 1, and increase the index of every other column by 1. Figure 5 illustrates the changes made in this case.

**Death:** Let  $Z_i[j]$  be the first cycle that contains simplex  $\sigma$ . Output  $(\mathbf{b}_i[j], i)$ .

- 1. Change basis to remove  $\sigma$  from matrix  $Z_i$ :
  - 1: for increasing k > j s.t.  $\sigma \in Z_i[k]$  do
  - 2: Let  $\sigma_j^k = Z_i[k][\sigma]/Z_i[j][\sigma]$
  - 3:  $Z_{i+1}[k] = Z_i[k] \sigma_j^k \cdot Z_i[j]$
  - 4: In  $B_i$ , add row k multiplied by  $\sigma_j^k$  to row j
  - 5: if  $\log Z_{i+1}[k] > \log Z_i[k]$  then
  - 6: j = k
- 2. Subtract cycle  $(C_i[k][\sigma]/Z_i[j][\sigma]) \cdot Z_i[j]$  from every chain  $C_i[k]$ .
- 3. Drop  $Z_{i+1}[j]$ , the corresponding entry in vectors  $\mathbf{b}_i$ and  $\mathbf{idx}_i$ , row j from  $B_i$ , row  $\sigma$  from  $C_i$  and  $Z_i$  (as well as row and column of  $\sigma$  from  $D_i$ ).

We increase the index of every column by 1,  $\mathbf{idx}_{i+1}(l) = \mathbf{idx}_i(l) + 1.$ 

# Outline

- 1. An algorithm for computing zigzag persistence (FastZigzag)
  - Converts to a computation of non-zigzag persistence
  - Bridges gap of efficiency for computing the two versions
- 2.  $O(m \log m)$  algorithm for computing graph zigzag persistence
- 3. Algorithms for updating zigzag persistence over local changes
  - Focus on contractions and expansions
  - Match the  $O(m^2)$  complexity of the non-zigzag version
- 4. Algorithms for updating graph persistence (over switches)
  - Non-zigzag:  $O(\log m)$
  - Zigzag:  $O(\sqrt{m} \log m)$
- 5.  $O(m^2 n)$  algorithm for computing zigzag representatives

#### Fast computation of zigzag persistence

[DeyH]: Fast Computation of Zigzag Persistence. ESA22

#### **Complexities of persistence computing**

	Theoretical	In Practice
Standard	$O(m^{\omega})$	Various optimizations
Zigzag	$O(m^{\omega})$	Much slower

 $\omega\approx 2.37286,$  matrix multiplication exponent

Edelsbrunner, Letscher, Zomorodian. Topological persistence and simplification. FoCS 2000. Carlsson, de Silva, Morozov. Zigzag persistent homology and real-valued functions. SoCG 2009. Milosavljevi´c, Morozov, Skraba. Zigzag persistent homology in matrix multiplication time. SoCG 2011. Cl´ement Maria and Steve Y. Oudot. Zigzag persistence via reflections and transpositions. SODA 2015.

#### **Overview of FastZigzag**

• Input zigzag filtration

$$\mathcal{F}: \varnothing = K_0 \xleftarrow{\sigma_0} K_1 \xleftarrow{\sigma_1} \cdots \xleftarrow{\sigma_{m-1}} K_m = \varnothing$$

• Convert to a non-zigzag filtration of same length (linear time)

$$\mathcal{F}': K'_0 \stackrel{\sigma'_0}{\longleftrightarrow} K'_1 \stackrel{\sigma'_1}{\longleftrightarrow} \cdots \stackrel{\sigma'_{m-1}}{\longleftrightarrow} K'_m$$

- Compute barcode for non-zigzag filtration  $\mathcal{F}'$ 
  - Fast software [Gudhi, Phat, Dionysus etc.]
- Convert barcode of  $\mathcal{F}'$  to that of  $\mathcal{F}$ 
  - 0(1) conversion per bar

**Overall conversion has very little cost** 



All filtrations have the same length (the same number of addition/deletions)

Conversions 1,2,3,4:

• Done by a simple linear scan of the input filtration



Idea: Treat new occurrence of simplex  $\sigma$  as a new copy (barcodes stay the same)

$$\mathcal{F}: \varnothing = K_0 \leftrightarrow \cdots \stackrel{\sigma}{\hookrightarrow} \cdots \stackrel{\sigma}{\longleftrightarrow} \cdots \stackrel{\sigma}{\longleftrightarrow} \cdots \leftrightarrow K_m = \varnothing$$

$$\hat{\mathcal{F}}: \varnothing = \hat{K}_0 \leftrightarrow \cdots \stackrel{\hat{\sigma}_1}{\longrightarrow} \cdots \stackrel{\hat{\sigma}_2}{\longleftrightarrow} \cdots \stackrel{\hat{\sigma}_2}{\longleftrightarrow} \cdots \leftrightarrow \hat{K}_m = \varnothing$$

 Simplices with the same vertex set shall occur in same complex in later filtration: use Δ-complex [Hatcher02]



Two triangles sharing 0,1,2,3 edges





$$\mathcal{U}: \varnothing = L_0 \xrightarrow{\tau_0} \cdots \xrightarrow{\tau_{n-1}} L_n \xleftarrow{\tau_n} \cdots \xleftarrow{\tau_{2n-2}} L_{2n-1} \xleftarrow{\tau_{2n-1}} L_{2n} = \varnothing$$
$$\downarrow$$
$$\mathcal{E}: \varnothing = L_0 \xrightarrow{\tau_0} \cdots \xrightarrow{\tau_{n-1}} L_n = (\hat{K}, L_{2n}) \xrightarrow{\tau_{2n-1}} (\hat{K}, L_{2n-1}) \xrightarrow{\tau_{2n-2}} \cdots \xrightarrow{\tau_n} (\hat{K}, L_n) = (\hat{K}, \hat{K})$$

Cohen-Steiner, Edelsbrunner, Harer. Extending persistence using Poincaré and Lefschetz duality. FoCM 2009



Mayer-Vietoris Diamond [CdS10]





Major takeaway: there is a bijection between the barcodes of the filtrations s.t. corresponding intervals have same creator and destroyer simplices (cells)



Use 'Coning' [CEH09]: No change in barcode



#### **Overall Conversions**



#### **Pseudocodes for the conversion**

Algorithm 3.1 Pseudocode for converting input filtration 1: procedure CONVERTFILT( $\mathcal{F}$ ) initialize boundary matrix D, cell-id map cid, deleted cell list del\_list as empty 2: append an empty column to D representing vertex  $\omega$  for coning 3:  $\texttt{id} \leftarrow 1$  $\triangleright$  variable keeping track of id for cells 4: for each  $K_i \stackrel{\sigma_i}{\longleftrightarrow} K_{i+1}$  in  $\mathcal{F}$  do 5: if  $\sigma_i$  is being inserted then 6:  $\operatorname{cid}[\sigma_i] = \operatorname{id}$  $\triangleright$  get a new cell as a copy of simplex  $\sigma_i$ 7:  $col \leftarrow CELLBOUNDARY(\sigma_i, cid)$ 8: append col to D9:  $id \leftarrow id + 1$ 10: else 11: append  $\operatorname{cid}[\sigma_i]$  to  $\operatorname{del_list}$ 12:▷ cone\_id tracks id for coned cells initialize map cone\_id as empty 13:for each del\_id in del\_list (accessed reversely) do 14: $cone_id[del_id] \leftarrow id$  $\triangleright$  get a new coned cell 15: $col \leftarrow CONEDCELLBOUNDARY(del_id, D, cone_id)$ 16:append col to D17: $id \leftarrow id + 1$ 18: return D19:

### **Running time comparison**

1-2: Non-repetitive random shuffles from height functions on triangular meshes

3-8: Clique complexes from random edge additions/deletions

9-11: Oscillating Rips zigzag from point clouds of 2000 – 4000 sampled from triangular meshes

No.	Length	D	Rep	MaxK	$\mathrm{T}_{\mathrm{DIO2}}$	$\mathrm{T}_{\mathrm{Gudhi}}$	$\mathrm{T}_{\mathrm{FZZ}}$	$\mathbf{SU}$
1	5,260,700	5	1.0	883,350	2h02m46.0s	_	8.9s	873
2	5,254,620	4	1.0	1,570,326	19m36.6s	_	11.0s	107
3	5,539,494	5	1.3	1,671,047	3h05m00.0s	45m47.0s	3m20.8s	13.7
4	5,660,248	4	2.0	1,385,979	2h59m57.0s	29m46.7s	4m59.5s	6.0
5	5,327,422	4	3.5	760,098	43m54.8s	10m35.2s	3m32.1s	3.0
6	5,309,918	3	5.1	523,685	5h46m03.0s	1h32m37.0s	19m30.2s	4.7
7	5,357,346	3	7.3	368,830	3h37m54.0s	57m28.4s	30m25.2s	1.9
8	6,058,860	4	9.1	331,211	53m21.2s	7m19.0s	3m44.4s	2.0
9	5,135,720	3	21.9	11,859	23.8s	15.6s	8.6s	1.9
10	5,110,976	3	27.7	11,435	36.2s	39.9s	8.5s	4.3
11	5,811,310	4	44.2	7,782	38.5s	36.9s	23.9s	1.5

All run on Intel(R), Core<sup>™</sup>, i5-9500 <u>CPU@3.00GHz</u>, 16GB memory, Linux OS

• Software FZZ using Phat software for non-zigzag (https://github.com/taohou01/fzz)

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#### $O(m \log m)$ computation of graph zigzag persistence

[Dey-H-Parsa]. Revisiting Graph Persistence for Updates and Efficiency. WADS 2023

#### An application of graph zigzag persistence: Dynamic networks



Petter Holme and Jari Saramaki. Temporal networks. Physics Reports, 519(3):97–125, 2012.

## **Complexities of persistence computing**

	*	Graphs
Standard	$O(m^{\omega})$	$O(m \alpha(m))$
Zigzag	$O(m^{\omega})$	$O(m\log^4 n)$

*m*: length of filtration  $\omega \approx 2.37286$ : matrix multiplication exponent  $\alpha(m)$ : inverse Ackermann function

#### Input for graph zigzag:

$$\mathcal{F}: \varnothing = G_0 \xleftarrow{\sigma_0} G_1 \xleftarrow{\sigma_1} \cdots \xleftarrow{\sigma_{m-1}} G_m; G = \bigcup_{i=0}^m G_i$$
  
n: size of G

Nikola Milosavljevi'c, Dmitriy Morozov, and Primoz Skraba. Zigzag persistent homology in matrix multiplication time. 2011. Tamal K. Dey and Tao Hou. Computing Zigzag Persistence on Graphs in Near-Linear Time. 2021

# Computation

Utilize the conversion in FastZigzag to convert the input zigzag into an up-down filtration  $\mathcal{U}$ , with the following barcode mapping:


1. Pers<sub>0</sub><sup>CO</sup>( $\mathcal{U}$ ), Pers<sub>0</sub><sup>OC</sup>( $\mathcal{U}$ ): run the persistence pairing for 0-dimensional standard persistence with Union-Find on the *ascending* and *descending* parts of  $\mathcal{U}$  in  $O(m \alpha(m))$  time



- 2.  $\text{Pers}_0^{\text{CC}}(\mathcal{U})$  :
- Identify each connected component C of  $\hat{G}_n$
- Pair:
  - first vertex in the ascending part coming from C
  - first vertex in the descending part coming from C
- Can be done in linear time

$$\begin{aligned} \mathcal{U} : \varnothing &= \hat{G}_0 \stackrel{\hat{\sigma}_0}{\longleftrightarrow} \hat{G}_1 \stackrel{\hat{\sigma}_1}{\longleftrightarrow} \cdots \stackrel{\hat{\sigma}_{n-1}}{\longleftrightarrow} \hat{G}_n \stackrel{\hat{\sigma}_n}{\longleftrightarrow} \hat{G}_{n+1} \stackrel{\hat{\sigma}_{n+1}}{\longleftrightarrow} \cdots \stackrel{\hat{\sigma}_{m-1}}{\longleftrightarrow} \hat{G}_m = \varnothing \end{aligned}$$

$$ascending part$$

$$descending part$$

3. Pers<sub>1</sub><sup>CC</sup>( $\mathcal{U}$ ) : from the edge-edge pairs; the first edge is a positive edge from the ascending part  $\mathcal{U}_u$ , the second edge is a positive edge from the descending part  $\mathcal{U}_d$ .

*Positive* edge: connect to the same connected component *Negative* edge: connect to the different connected components

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### ► Algorithm.

- **1.** Maintain a spanning forest T of  $\hat{G}_n$  while processing  $\mathcal{U}_d$ . Initially, T consists of all vertices of  $\hat{G}_n$  and all negative edges in  $\mathcal{U}_d$ .
- **2.** For every positive edge e in  $\mathcal{U}_d$ :
  - **a.** Add e to T and check the *unique* cycle c formed by e in T.
  - **b.** Determine the edge e' which is the youngest edge of c with respect to the filtration  $\mathcal{U}_u$ . The edge e' has to be positive in  $\mathcal{U}_u$ .
  - **c.** Delete e' from T. This maintains T to be a tree all along.
  - **d.** Pair the positive edge e from  $\mathcal{U}_d$  with the positive edge e' from  $\mathcal{U}_u$ .

Zuoyu Yan, Tengfei Ma, Liangcai Gao, Zhi Tang, and Chao Chen. Link prediction with persistent homology: An interactive view. 2021.

*Positive* edge: connect to the same connected component *Negative* edge: connect to the different connected components



Figure from [Yan et al. 2021]

- 3.  $\text{Pers}_1^{\text{CC}}(\mathcal{U})$  :
- The algorithm in [Yan et al. 2021] runs in  $O(m^2)$  time using a direct implementation for the trees
- We propose to use the Link-Cut tree [Sleator, Tarjan, 1981] so that finding the edge-edge pairs runs in  $O(m \log m)$  time.
- Since the conversions between input zigzag and up-down are linear time, the overall complexity is  $O(m \log m)$ .

Daniel D. Sleator and Robert Endre Tarjan. A data structure for dynamic trees. 1981.

## Updating zigzag persistence

[DeyH]: Computing Zigzag Vineyard Efficiently Including Expansions and Contractions. SoCG24

Consider local changes on filtration and update the barcode accordingly

• Produces *vineyard* (a stack of barcodes)

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#### **Example: Dynamic point cloud**



(figure from *Computational* topology: An Introduction)

Consider local changes on filtration and update the barcode accordingly

Produces *vineyard* (a stack of barcodes)

Operations in standard persistence, computed in O(m) time [CEM06]

Switch (transposition)  $\mathcal{F}: \emptyset = K_0 \hookrightarrow \cdots \hookrightarrow K_{i-1} \stackrel{\sigma}{\hookrightarrow} K_i \stackrel{\tau}{\hookrightarrow} K_{i+1} \hookrightarrow \cdots \hookrightarrow K_m \stackrel{\sim}{\longrightarrow} \mathcal{F}': \emptyset = K_0 \hookrightarrow \cdots \hookrightarrow K_{i-1} \stackrel{\tau}{\hookrightarrow} K'_i \stackrel{\sigma}{\hookrightarrow} K_{i+1} \hookrightarrow \cdots \hookrightarrow K_m \stackrel{\sim}{\dashrightarrow} \mathcal{F}'$ 

Cohen-Steiner, Edelsbrunner, and Morozov. Vines and vineyards by updating persistence in linear time. 2006

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#### **Operations we consider**

Forward switch  $\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \stackrel{\sigma}{\hookrightarrow} K_i \stackrel{\tau}{\hookrightarrow} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$  $\mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \stackrel{\tau}{\hookrightarrow} K'_i \stackrel{\sigma}{\hookrightarrow} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$ 

#### **Backward switch**

 $\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \xleftarrow{\sigma} K_i \xleftarrow{\tau} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$  $\mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \xleftarrow{\tau} K'_i \xleftarrow{\sigma} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$ 

#### Outward/inward switch

$$\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \stackrel{\sigma}{\hookrightarrow} K_i \stackrel{\tau}{\longleftrightarrow} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m \quad \bullet$$
$$\mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \stackrel{\tau}{\longleftrightarrow} K'_i \stackrel{\sigma}{\hookrightarrow} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m \quad \bullet$$

#### Keep filtration size

### Inward contraction/expansion $\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-2} \leftrightarrow K_{i-1} \stackrel{\sigma}{\hookrightarrow} K_i \stackrel{\sigma}{\longleftrightarrow} K_{i+1} \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \stackrel{\bullet}{\bullet}$ $\mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-2} \leftrightarrow K'_i \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \stackrel{\bullet}{\bullet}$

### Outward contraction/expansion $\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-2} \leftrightarrow K_{i-1} \xleftarrow{\sigma} K_i \xleftarrow{\sigma} K_{i+1} \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \leftarrow \mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-2} \leftrightarrow K'_i \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \leftarrow \mathcal{F}'$

Increase/decrease filtration size

## **Easy updates**

For following switch operations (do not change input length):

- Barcodes can be easily updated in O(m) time
- Using the conversion in FastZigzag

Forward switch

$$\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \stackrel{\sigma}{\hookrightarrow} K_i \stackrel{\tau}{\hookrightarrow} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$$
$$\mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \stackrel{\tau}{\hookrightarrow} K'_i \stackrel{\sigma}{\hookrightarrow} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$$

Backward switch

$$\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \xleftarrow{\sigma} K_i \xleftarrow{\tau} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$$
$$\mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \xleftarrow{\tau} K'_i \xleftarrow{\sigma} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m$$

Outward/inward switch  $\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \xrightarrow{\sigma} K_i \xleftarrow{\tau} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m \xleftarrow{r} K_i : K_0 \leftrightarrow \cdots \leftrightarrow K_{i-1} \xleftarrow{\tau} K_i' \xrightarrow{\sigma} K_{i+1} \leftrightarrow \cdots \leftrightarrow K_m \xleftarrow{r}$ 

Cohen-Steiner, Edelsbrunner, and Morozov. Vines and vineyards by updating persistence in linear time. 2006

## **Difficulties with (outward) contraction/expansion**

Difficulties lie in (outward) contraction/expansion (input length changes)

Inward contraction/expansion  $\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-2} \leftrightarrow K_{i-1} \stackrel{\sigma}{\hookrightarrow} K_i \stackrel{\sigma}{\longleftrightarrow} K_{i+1} \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \stackrel{\bullet}{\bullet} K_i \stackrel{\bullet}{\leftarrow} K_{i+1} \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \stackrel{\bullet}{\bullet} K_i \stackrel{\bullet}{\leftarrow} K_i \mapsto K_i$ 

Outward contraction/expansion  $\mathcal{F}: K_0 \leftrightarrow \cdots \leftrightarrow K_{i-2} \leftrightarrow K_{i-1} \xleftarrow{\sigma} K_i \xleftarrow{\sigma} K_{i+1} \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \leftarrow \mathcal{F}': K_0 \leftrightarrow \cdots \leftrightarrow K_{i-2} \leftrightarrow K'_i \leftrightarrow K_{i+2} \leftrightarrow \cdots \leftrightarrow K_m \leftarrow \mathcal{F}'$ 

# Difficulties with (outward) contraction/expansion

- If we convert the zigzag filtrations into up-down/non-zigzag filtrations, there are some adjacency change on the cells:
  - Before and after the operation, boundary faces of certain (p + 1)-cells change into other p-cells (which come in earlier/later in the up-down/non-zigzag filtration)
- Straightforward approach takes  $O(m^3)$  time



# Idea of the computation for outward contraction

- Convert input zigzag filtration into up-down filtration
- [Observation] The boundary change of cells in the up-down filtration in the contraction:
  - $\circ~$  Two  $p\text{-cells}~\sigma_{1},~\sigma_{2}$  are identified as the same  $p\text{-cell}~\sigma_{0}$



## Idea of the computation for outward contraction

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 $U \Rightarrow U^+$ :

- Attaching  $\chi$
- O(m<sup>2</sup>)



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- O(m<sup>2</sup>)

 $U^+ \Rightarrow \widetilde{U}$ :

- Perform switches
- O(m<sup>2</sup>)



 $U \Rightarrow U^+$ :

- Attaching  $\chi$
- O(m<sup>2</sup>)

 $U^+ \Rightarrow \widetilde{U}$ :

- Perform switches
- O(m<sup>2</sup>)

 $\widetilde{U} \Rightarrow U'$ :

- "Almost" the same
- O(m)



### $\widetilde{U} \Rightarrow U'$ , formally:

**Proposition.** Given  $\operatorname{Pers}_*(\tilde{\mathcal{U}})$ , one only needs to do the following to obtain  $\operatorname{Pers}_*(\mathcal{U}')$ : Ignoring the pairs  $(\searrow \sigma_2, \searrow \xi)$  and  $(\nwarrow \xi, \rightthreetimes \sigma_1)$  in  $\operatorname{Pers}_*(\tilde{\mathcal{U}})$ , for each remaining pair  $(\bigtriangleup \eta, \backsim \gamma) \in \operatorname{Pers}_*(\tilde{\mathcal{U}})$ , produce a corresponding pair  $(\theta(\backsim \eta), \theta(\backsim \gamma)) \in \operatorname{Pers}_*(\mathcal{U}')$ .

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#### Conclusion:

**Theorem.** The barcodes for an outward contraction on zigzag filtrations can be updated in  $O(m^2)$  time, matching the complexity for a contraction on the standard filtrations.

## **Inward contraction**

- While inward contraction is easy by converting to non-zigzag, it becomes non-trivial when converting to up-down
- Algorithm idea:
  - After some preprocessing, we are left with certain intervals which are not 'settled' (contains the cell being removed)
  - These intervals follow a fixed pattern, and we utilized an 'alternative relinking' to produce intervals for the new filtration



### Updating zigzag persistence on graphs over switches

[Dey-H-Parsa]. Revisiting Graph Persistence for Updates and Efficiency. WADS 2023

# Update for non-zigzag graph filtrations

 Propose O(log m) algorithms for updating non-zigzag filtrations on graphs over switches

$$\mathcal{F}: \varnothing = G_0 \hookrightarrow \dots \hookrightarrow G_{i-1} \stackrel{\sigma}{\hookrightarrow} G_i \stackrel{\tau}{\hookrightarrow} G_{i+1} \hookrightarrow \dots \hookrightarrow G_m \stackrel{\tau}{\longrightarrow} \mathcal{F}': \varnothing = G_0 \hookrightarrow \dots \hookrightarrow G_{i-1} \stackrel{\tau}{\hookrightarrow} G'_i \stackrel{\sigma}{\hookrightarrow} G_{i+1} \hookrightarrow \dots \hookrightarrow G_m \stackrel{\tau}{\checkmark}$$

- Maintain merge forest (trees) encoding all info in the pers module
- Case analysis: Perform the update in difference cases
- Use two dynamic trees data structure (DFT tree, Link-Cut tree) to achieve the complexity














## **1. Switch two vertices** $v_1$ , $v_2$

- The only situation where the pairing changes:
  - $\circ$   $v_1$ ,  $v_2$  are in the same tree in the merge forest
  - $v_1, v_2$  are both unpaired when *e* is added in  $\mathcal{F}$ , where *e* is the edge corresponding to the *nearest common ancestor* of  $v_1, v_2$  in the merge forest
- In above case, we switch the paired edges of  $v_1$ ,  $v_2$



## **More definitions**

Two types of edges in the graph filtration:

- Negative edge: connect two different connected components
- Positive edge: connect the same connected component

#### **2.** Switch a negative edge $e_1$ and a positive edge $e_2$

- If  $e_1$  is in a 1-cycle after is  $e_2$  added:
  - $\circ$  This is the case where  $e_1$ ,  $e_2$  connect to the same two connected components
  - $\circ$  After the switch,  $e_1$  becomes positive and  $e_2$  becomes negative
  - $\circ$  We pair  $e_2$  with the vertex that  $e_1$  previously pairs with
  - $\circ$  The node in the merge forest corresponding to  $e_1$  should now correspond to  $e_2$



## **3.** Switch two negative edges $e_1$ , $e_2$

- Only need to make changes when the corresponding node of e<sub>1</sub> is a child of the corresponding node of e<sub>2</sub> in the merge forest
- Let u, v, w be the lowest leaves in  $T_1, T_2, T_3$ .
- WLOG, assume v is lower than u.



### **3.** Switch two negative edges $e_1$ , $e_2$

• Based on the structure of the merge forest, there are two connecting configurations for  $C_1$ ,  $C_2$ ,  $C_3$  in  $G_{i-1}$  ( $C_1$ ,  $C_2$ ,  $C_3$  are the connected components containing u, v, w respectively)



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- If *w* is lower than *u*, then swap the paired vertices of  $e_1$ ,  $e_2$ 
  - Provable by case analysis

#### **DFT-Tree**

 $O(\log m)$ 

Data structures implementing the merge forests [Farina, Laura, 2015]:

- $\mathbf{ROOT}(v)$ : Returns the root of the tree containing node v.
  - $\operatorname{cut}(v)$ : Deletes the edge connecting node v to its parent.
  - link(u, v): Makes the root of the tree containing node v be a child of node u.
  - NCA(u, v): Returns the nearest common ancestor of two nodes u, v in the same tree.
- CHANGE-VAL(v, x): Assigns the value associated to a leaf v to be x.
- SUBTREE-MIN(v): Returns the leaf with the minimum associated value in the subtree rooted at v.

Returns the lowest leaf for a subtree

Gabriele Farina and Luigi Laura. Dynamic subtrees queries revisited: The depth first tour tree. 2015.

## Detecting if $e_1 = (u, v)$ is in a cycle in $G_{i+1}$

• Check if u, v are connected in  $G'_i$ 

 $\mathcal{F}': \emptyset = G_0 \hookrightarrow \cdots \hookrightarrow G_{i-1} \hookrightarrow G'_i \stackrel{e_1}{\hookrightarrow} G_{i+1} \hookrightarrow \cdots \hookrightarrow G_m$ 

- Check the first time u, v are connected in the filtration  $\mathcal{F}'$
- Based on an idea in [DH21], do following:
  - $\circ$  Let edges in  $G \coloneqq G_m$  be weighted by their indices in  $\mathcal{F}'$
  - The first time *u*, *v* are connected = 1 + the bottleneck weight of the path in the MSF of
     *G* (bottleneck weight: max weight of edges)
- Maintain the MSF over the switch by the Link-Cut tree [ST81]:
  - Everything can be in  $O(\log m)$  time
  - This is possible because we are only doing switches (switching the weights for edges whose weights are consecutive)

- Daniel D. Sleator and Robert Endre Tarjan. A data structure for dynamic trees. 1981.

<sup>-</sup> Tamal K. Dey and Tao Hou. Computing zigzag persistence on graphs in near-linear time. 2021.

## Update for zigzag graph filtrations

• Four switch operations:

Forward switch  $\begin{pmatrix}
\mathcal{F}: G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\sigma}{\longrightarrow} G_{i} \stackrel{\tau}{\longrightarrow} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m} \\
\mathcal{F}': G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\tau}{\longrightarrow} G'_{i} \stackrel{\sigma}{\longrightarrow} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m}
\end{pmatrix}$ Backward  $\begin{pmatrix}
\mathcal{F}: G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\sigma}{\longleftrightarrow} G_{i} \stackrel{\tau}{\longleftrightarrow} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m} \\
\mathcal{F}': G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\tau}{\longleftrightarrow} G'_{i} \stackrel{\sigma}{\leftrightarrow} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m}
\end{pmatrix}$ Outward  $\begin{pmatrix}
\mathcal{F}: G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\sigma}{\longrightarrow} G_{i} \stackrel{\tau}{\longleftrightarrow} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m} \\
\mathcal{F}': G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\sigma}{\leftrightarrow} G_{i} \stackrel{\tau}{\leftrightarrow} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m}
\end{pmatrix}$ Inward
switch  $\begin{pmatrix}
\mathcal{F}: G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\sigma}{\leftrightarrow} G_{i} \stackrel{\sigma}{\leftrightarrow} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m} \\
\mathcal{F}': G_{0} \leftrightarrow \cdots \leftrightarrow G_{i-1} \stackrel{\tau}{\leftrightarrow} G'_{i} \stackrel{\sigma}{\to} G_{i+1} \leftrightarrow \cdots \leftrightarrow G_{m}
\end{pmatrix}$ 

- Strategy: Convert the zigzag filtrations to up-down filtrations as previous
- Immediately, inward and outward switches take O(1) time
- Forward and backward switches: For intervals other than those from the edge-edge pairs, the update reduces to the standard persistence case, hence  $O(\log m)$  time

## O(m) algorithm for updating edge-edge pairs

• Based on a direct maintenance of representative cycles for pairs

**Algorithm 1.** We describe the algorithm for the forward switch and the procedure for a backward switch is symmetric. Let  $\Pi$  be the set of edge-edge pairs initially for  $\mathcal{U}$ . Since a switch containing a vertex makes no changes to the edge-edge pairs, suppose that the switch is an edge-edge switch and let  $e_1$ ,  $e_2$  be the two switched edges. Also, let  $\mathcal{U}_u$  be the ascending part of  $\mathcal{U}$ . We have the following cases:

- A.  $e_1$  and  $e_2$  are both negative in  $U_u$ : Do nothing.
- **B.**  $e_1$  is positive and  $e_2$  is negative in  $\mathcal{U}_u$ : Do nothing.
- **C.**  $e_1$  is negative and  $e_2$  is positive in  $\mathcal{U}_u$ : Let z be the representative cycle for the pair  $(e_2, \epsilon) \in \Pi$ . If  $e_1 \in z$ , pair  $e_1$  with  $\epsilon$  in  $\Pi$  with the same representative z (notice that  $e_2$  becomes unpaired).
- **D.**  $e_1$  and  $e_2$  are both positive in  $\mathcal{U}_u$ : Let z, z' be the representative cycles for the pairs  $(e_1, \epsilon), (e_2, \epsilon') \in \Pi$  respectively. Do the following according to different cases:
  - If  $e_1 \in z'$  and the deletion of  $\epsilon'$  is before the deletion of  $\epsilon$  in  $\mathcal{U}$ : Let the representative for  $(e_2, \epsilon')$  be z + z'. The pairing does not change.
  - If  $e_1 \in z'$  and the deletion of  $\epsilon'$  is after the deletion of  $\epsilon$  in  $\mathcal{U}$ : Pair  $e_1$  and  $\epsilon'$  in  $\Pi$  with the representative z'; pair  $e_2$  and  $\epsilon$  in  $\Pi$  with the representative z + z'.

## $O(\sqrt{m} \log m)$ algorithm: ideas

- Eliminate the explicit maintenance of representative cycles by observing:
  - We only need to check the connectivity of two vertices in the intersection of two graphs in the up-down
  - One graph is from the ascending part, the other is from the descending part
- Maintain the MSF's for  $\sqrt{m}$  graphs in the ascending part where the edges are weighted by indices in the descending part.
- Each MSF is a Link-Cut tree

#### Computing zigzag representatives in $O(m^2n)$ time

[Dey-H-Morozov] A fast Algorithm for computing zigzag representatives. SODA25 (to appear)

## **Computing representatives for persistence**

• Standard persistence:

 $\circ O(m^3)$  or  $O(m^{\omega})$  time by simply using the original persistence algorithm

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• Zigzag persistence:

Definition 4 (Representative). Let [b, d] ⊆ {1,..., m − 1} be an interval. A p-th representative sequence (also simply called p-th representative) for [b, d] consists of a sequence of p-cycles {z<sub>i</sub> ∈ Z<sub>p</sub>(K<sub>i</sub>) | b ≤ i ≤ d} and a sequence of (p + 1)-chains {c<sub>i</sub> | b − 1 ≤ i ≤ d}, typically denoted as c<sub>b-1</sub> ← - z<sub>b</sub> ← <sup>c<sub>b</sub></sup> ← · · · ← <sup>c<sub>d-1</sub></sup> → z<sub>d</sub> → c<sub>d</sub>,
such that for each i with b ≤ i < d:</li>
if K<sub>i</sub> ↔ K<sub>i+1</sub> is forward, then c<sub>i</sub> ∈ C<sub>p+1</sub>(K<sub>i+1</sub>) and z<sub>i</sub> + z<sub>i+1</sub> = ∂(c<sub>i</sub>) in K<sub>i+1</sub>;
if K<sub>i</sub> ↔ K<sub>i+1</sub> is backward, then c<sub>i</sub> ∈ C<sub>p+1</sub>(K<sub>i</sub>) and z<sub>i</sub> + z<sub>i+1</sub> = ∂(c<sub>i</sub>) in K<sub>i</sub>.
Furthermore, the sequence satisfies the additional conditions:
Birth condition: If K<sub>b-1</sub> ← <sup>σ<sub>b-1</sub></sup> → K<sub>b</sub> is backward, then z<sub>b</sub> = ∂(c<sub>b-1</sub>) for c<sub>b-1</sub> a (p + 1)-chain in K<sub>b-1</sub> containing σ<sub>b-1</sub>; if K<sub>b</sub> ← K<sub>d+1</sub> is forward, then z<sub>d</sub> = ∂(c<sub>d</sub>) for c<sub>d</sub> a (p + 1)-chain in K<sub>d+1</sub> containing σ<sub>d</sub>; if K<sub>d</sub> ← <sup>σ<sub>d</sub></sup> → K<sub>d+1</sub> is backward, then σ<sub>d</sub> ∈ z<sub>d</sub> and c<sub>d</sub> is undefined.

 $\circ$   $O(m^2n^2)$  time by directly adapt the algorithm in [MO15]

Maria and Oudot. Zigzag persistence via reflections and transpositions. 2015

## **Computing representatives for persistence**

• Standard persistence:

 $\circ O(m^3)$  or  $O(m^{\omega})$  time by simply using the original persistence algorithm

• Zigzag persistence:

 $\circ O(m^2n^2)$  time by directly adapt the algorithm in [MO15]

• Find a way to compress the representatives to achieve the  $O(m^2n)$  complexity

## Key to bringing down the complexity

- How to store a representative for an interval in memory:
  - The straightforward method takes O(mn) space, so that summing two representatives takes O(mn) time, and hence the  $O(m^2n^2)$  complexity

## Key to bringing down the complexity

- How to store a representative for an interval in memory:
  - The straightforward method takes O(mn) space, so that summing two representatives takes O(mn) time, and hence the  $O(m^2n^2)$  complexity
- We find a *compressed* way to store a representative
  - The compressed method takes O(m) space, so that summing two representatives takes O(m) time, and hence the  $O(m^2n)$  complexity
  - This is by storing a representatives as a set of *wires*, each a cycle born at a certain time and extending indefinitely

#### An example for storing a rep. as wires





#### To Answer the Question in the Title

## Can zigzag persistence be computed as efficiently as the standard version?

Problems		Wall-clock time	Complexity
Compute persistence	General	Yes!	
	Graph	Not far away	$O(m \alpha(m)) $ vs $O(m \log m)$
Update	General	?	Yes
	Graph	No	$O(\log m)$ vs $O(\sqrt{m}\log m)$
Compute representatives		Still a gap	$O(m^{\omega})$ vs $O(m^2n)$

# Thank you!